

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

For All Territory Served

P.S.C. No. 9

Revised Sheet No. 1

Canceling P.S.C. KY No. 8

PUBLIC SERVICE COMMISSION
Original of KENTUCKY Sheet No. 1
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RULES AND REGULATIONS

General

FEB 22 1996

1. SCOPE

This schedule of rules and regulations is a part of all contracts for receiving electric service from Henderson Union Electric Cooperative Corporation (Henderson Union) and applies to all service received from Henderson Union whether the service received is based upon a contract, agreement, signed application or otherwise. No employee or individual director of Henderson Union is permitted to make an exception to rates or rules and regulations. Copies of rates and rules and regulations are on file in Henderson Union's offices and can be obtained there.

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY *[Signature]*
DIRECTOR, RATES & RESEARCH DIV.

2. REVISIONS

These rules and regulations may be revised, amended, supplemented or otherwise changed from time to time without notice, by action of the board of directors. Such changes, when effective, shall have the same force as the present rules and regulations.

3. MEMBERS'S RESPONSIBILITY FOR HENDERSON UNION EC'S PROPERTY

All meters, service connections, and other equipment furnished by Henderson Union shall be, and remain, the property of Henderson Union. The member shall exercise proper care to protect the property of Henderson Union on its premises and in the event of loss or damage to Henderson Union's property arising from neglect of member to care for same, the cost of necessary repairs or replacement shall be paid by the member.

4. TAMPERING

- (a) If the meters or other property belonging to Henderson Union are tampered or interfered with, the member being supplied through such equipment shall pay the amount which Henderson Union may estimate is due for service rendered but not registered on Henderson Union's meter, and for such replacements and repairs as are necessary as well as for cost of inspection, investigation, and protective installations.
- (b) The member will be allowed to continue to receive service if he agrees that Henderson Union shall estimate his consumption for the past twelve months by the best means available. The member's account will be billed and the amount collected within a reasonable length of time, not to exceed 60 days. The amount will be credited to the member's bill and a test run on one year shall be used to determine if the original estimate was fair and accurate. If the member's account has been overcharged, proper credit will be given the member's account and if the account has been undercharged, an additional debit adjustment will be made to the member's account.
- (c) If the member fails to agree to the above arrangement, Henderson Union will remove the

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ISSUED BY *John West*
John West

President & CEO Title P. O. Box 18, Henderson, KY 42420 Address

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HENDERSON UNION ELECTRIC
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meter and service and make proper preparations for taking legal action.

5. CONTINUITY

Henderson Union shall diligently try to provide constant and uninterrupted supply of electric energy, but should supply fail or be interrupted through acts of God, the public enemy, by accident, strikes, labor troubles, by action of the elements, or by any other cause beyond the reasonable control of Henderson Union, Henderson Union shall not be liable therefor.

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6. RELOCATION OF LINES BY REQUEST OF MEMBERS

Henderson Union's established lines will not be relocated unless the expense for moving and relocating is paid by the member, except in instances where it would be to the advantage of Henderson Union to make such relocation.

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

7. SERVICES PERFORMED FOR MEMBERS

Henderson Union's personnel are prohibited from making repairs, performing services to the member's equipment or property except in cases of emergency or to protect the public or member's person or property. When such emergency services are performed, the member shall be charged for such service at the rate of time and material.

BY: *Phyllis Lammie*
DIRECTOR, RATES & RESEARCH DIV.

Service Procedures

8. APPLICATION FOR SERVICE

(a) All applicants for electric service shall execute Henderson Union's form of Applications for Membership and Service in acknowledgment of the terms and conditions of electric service cited therein and grant, convey and/or provide to Henderson Union any and all necessary rights, privileges, permits and easements incidental to or connected with such electrical service before electric service is supplied.

(b) All applicants shall provide within thirty (30) working days prior to the date service is required certain load data information in order that adequate facilities may be installed for the new service.

9. MEMBERSHIP FEE

Pursuant to Henderson Union's bylaws, a membership fee of twenty-five dollars (\$25.00) shall be paid by all new members. Membership fee shall be refunded when all financial obligations are satisfied or may be applied against any unpaid bill of the member upon termination of electric service. Service will not be made available to a former member until any previously existing

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indebtedness to Henderson Union has been satisfied.

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10. MEMBER DEPOSIT

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

(a) Henderson Union may require from any member or applicant for service, regardless of customer class, a minimum cash deposit, letter of credit from a financial institution, surety or performance bond, prepaid budget billing amount, adequate financial statements or other suitable guaranty to secure payment of bills in an amount not to exceed 2/12th of the estimated annual bill of such member or applicant; except for members qualifying for service reconnection pursuant to 807 KAR 5:006, Section 15, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Interest, as prescribed by KRS 78.460, will be paid annually either by refund or credit to the member's bill, except that no refund or credit will be made if the member's bill is delinquent on the anniversary date of the deposit.

(b) Henderson Union may waive the required deposit if the member or applicant has an established reliable payment history with Henderson Union. If a deposit has been waived or returned and the member fails to maintain a satisfactory payment record, a deposit may then be required. Henderson Union may require a deposit in addition to the initial deposit if the member's classification of service changes or if there is a substantial change in usage. Upon termination of service, the deposit, any principal amounts, and any interest earned and owing will be credited to the final bill with any remainder refunded to the member.

(c) If a deposit is held longer than 18 months, the deposit will be recalculated at the member's request based on the member's actual usage. If the deposit on account differs from the recalculated amount by more than \$10.00 for a residential member or 10 percent for a non-residential member, Henderson Union may collect any underpayment and shall refund any overpayment by check or credit to the member's bill. No refund will be made if the member's bill is delinquent at the time of the recalculation.

(d) Interest will be paid on all sums held on deposit at the rate of 6 percent annually beginning on the date of deposit. The interest accrued shall be applied as a credit to the customer's bill or paid to the customer on an annual basis. If interest is paid or credited to the customer's bill prior to twelve (12) months from date of deposit, the payment or credit shall be on a prorated basis. If interest is not credited to the member's bill or paid to the member annually, interest will be computed by a method which will result in an amount no less than that obtained by using a middle course method between simple and compound interest in compliance with Commission order dated October 31, 1989 in Case No. 89-057. Interest on deposits computed in this manner will accrue until credited to the member's bill or paid to the member.

(e) Sign-in cases of sign lighting, if it is an established firm, no deposit of any nature will be required except membership fee.

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11. DISTRIBUTION LINE EXTENSIONS

(1) Residential extensions. An extension of 1,000 feet or less of single phase line shall be made by Henderson Union to its existing distribution line without charge for a prospective member who shall apply for and contract to use the service for one (1) year or more, and Provides guarantee for such service. The "service drop" to customer premises from the distribution line at the last pole shall not be included in the foregoing measurements.

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SECTION 9 (1)
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(2) Other extensions.

(a) When an extension of Henderson Union's line to serve an applicant or group of applicants amount to more than 1,000 feet per customer, Henderson Union shall require the total cost of the excessive footage over 1,000 feet per member to be deposited with Henderson Union by the applicant or applicants, based on the average estimated cost per foot of the total extensions.

(b) Each member receiving service under such extension will be reimbursed under the following plan: Each year for a period of not less than ten (10) years, for which the purpose of this rule shall be the refund period, Henderson Union shall refund to the member or members who paid for the excessive footage the cost of 1,000 feet of the extension in place for each additional residence connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom, but in no case shall the total amount refunded exceed the amount paid Henderson Union. After the end of the ten (10) year refund period, no refund will be made.

(c) For additional members connected to an extension or lateral from the distribution line, the utility shall refund to any member who paid for excessive footage the cost of 1,000 feet of line less the length of the lateral or extension.

(3) Real estate subdivisions. An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year, for a period of not less than ten (10) years, Henderson Union shall refund to the applicant who paid for the extension a sum equivalent to the cost of 1,000 feet of the extension installed for each additional member connected during the year, but in no case shall the total amount refunded exceed the amount paid to Henderson Union.

(4) Indeterminate Services. Extensions of electric service for other than residential shall be provided under conditions that will not seriously jeopardize the objectives of Henderson Union of providing electric service for residential members. These prospective members are usually speculative in nature, such as barns, grains bins, wells, feed lots, farrowing houses, etc. Service to these members may be provided under the following conditions:

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- (a) Single-phase service shall be provided without contribution or contract if only a service drop is required.
- (b) If a primary extension is required, the applicant, if a property owner, shall sign a minimum bill contract for a three-year period equal to the cost of the extension. No work is to be completed until contract is executed by applicant. Energy purchased under these special contracts will apply to the special monthly minimum.
- (c) Non-property owners and businesses of a speculative nature shall pay the estimated cost of construction before work begins and will not be refunded.
- (d) If a primary extension over 1,000 feet is required, the applicant, if a property (N) owner, may request to sign a minimum bill contract for a ten-year period equal to the cost of the extension. The property owner shall also be required to allow Henderson Union to file a declining lien on the property for the cost of the construction. No work is to be completed until Henderson Union and applicant execute a contract and the lien is recorded. Energy purchased under these special contracts will apply to the special minimum on a month-by-month basis.

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- (5) Nothing contained herein shall be construed as to prohibit Henderson Union from making extensions under different arrangements provided such arrangements have been approved by the Public Service Commission.
- (6) Nothing contained herein shall be construed as to prohibit Henderson Union from making at its expense greater extensions than herein prescribed, should its judgement and that of its directors provide for like free extensions are made to other members under similar conditions.

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SECTION 9 (1)

12. DISTRIBUTION LINE EXTENSIONS TO MOBILE HOMES

- (1) All extensions of up to 150 feet from the nearest facility shall be made without charge.
- (2) Extensions greater than 150 feet from the nearest facility and up to 300 feet shall be made provided the member shall pay Henderson Union a "member advance for construction" of fifty dollars (\$50) in addition to any other charges required by the utility for all members. This advance shall be refunded at the end of one (1) year if the service to the mobile home continues for that length of time.
- (3) For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the utility may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty dollars (\$50). Beyond 1,000 feet, the extension policies set forth in 807 KAR 5:041, Section 11 shall apply.

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(a) This advance shall be refunded to the member over a four (4) year period in equal amounts for each year the service is continued.

(b) If the service is discontinued for a period of sixty (60) days, or should the mobile home be removed and another does not take its place within sixty (60) days, or be replaced by a permanent structure, the remainder of the advance shall be refunded to the member.

(c) No refunds shall be made to any member who did not make the advance originally.

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13. RIGHT OF ACCESS

Henderson Union's identified employee shall at all reasonable hours have access to meters, service connections and other property owned by it and located on member's premises for purposes of installation, maintenance, meter reading, operation, replacement or removal of its property at the time service is to be terminated. Any employee of the utility whose duties require him to enter the member's premises shall wear a distinguishing uniform or other insignia, identifying him as an employee of Henderson Union, or show a badge or other identification which will identify him as an employee of the utility.

PURSUANT TO 007 KAR 5:011,
SECTION 10 (1)
DIRECTOR, RATES & RESEARCH DIV

14. NOTICE OF TROUBLE

Member shall give immediate notice at the office of Henderson Union of any interruptions, or irregularities, or unsatisfactory service, and of any defects known to member. Henderson Union may at any time it deems necessary, suspend supply of electrical energy to any member or members for the purpose of making repairs, changes, or improvements upon any part of its system. Henderson Union shall make reasonable notice of such discontinuance to member.

15. MEMBERS'S REQUEST FOR TERMINATION OF SERVICE

Any member desiring service terminated or changed from one address to another shall give the utility three (3) working days' notice in person, in writing, or by telephone provided such notice does not violate contractual obligations or tariff provision. The member shall not be responsible for charges for service beyond the three (3) day notice period if the member provides reasonable access to the meter during the notice period. If the member notifies the utility of his request for termination by telephone, the burden of proof is on the member to prove that service termination was requested if a dispute arises.

16. RECONNECTION CHARGES

Henderson Union will make no charge for connecting service to the members's premises for the initial installation of service, or to the member's premises if the service has been destroyed by fire.

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When service has been terminated, or service is transferred to a new member, Henderson Union's representative shall read the meter at such premises. A service charge of \$10.00 (ten dollars) will be made to new occupant for the reconnecting or transferring of such service. Service charge will be due and payable at time of connection or transfer, or upon notice of said charge. No meters shall be installed or reinstalled after working hours unless in the judgement of Henderson Union's manager there exist circumstances that will justify the additional expense. In these cases, a service charge of \$35.00 (thirty-five dollars) will apply.

17. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the member shall be supplied exclusively by Henderson Union and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service of any part thereof.

18. SERVICE CHARGE

All service calls made by Henderson Union pertaining to the member's premises shall be charged at the rate of \$10.00 (ten dollars) per call during normal working hours.

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19. SERVICE CHARGE FOR TEMPORARY SERVICE

Member's requiring temporary service may be required to pay all costs of connecting and disconnecting incidental to the supplying and removing of service. Members will be billed for electric service as any other member during the connected period.

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY Philip Jannin
DIRECTOR, RATES & RESEARCH DIV

20. TEMPORARY SERVICE BOARD

Member's requesting a temporary service board for construction purposes will be required to pay a \$20.00 service charge before the temporary service board is installed. If the temporary service board is installed for a period longer than six (6) months, the member will be charged \$5.00 per month charge for each additional month up to a maximum of twelve months.

METERS

21. METER TESTS

All new meters shall be checked for accuracy before installation. Henderson Union will, at its own expense, make periodic tests and inspections of its meters in order to maintain a high standard of accuracy and to conform with the regulations of the Public Service Commission. Henderson Union will make additional tests of meters at the request of the member upon payment of a \$10.00 fee, if the meter has been tested within the past eight years. If the meter has not been tested within the past eight years, there will be no charge for the meter test. When the test is made at the member's

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request shows the meter is accurate, within 2% slow or fast, no adjustment will be made to the members's bill and the fee paid will be forfeited to help cover cost of the requested test. When the test shows the meter to be in excess of 2% slow or fast appropriate adjustments will be made to the member's bill. Refunds will be made in accordance with Public Service Commission General Rules 807 KAR 5:006 Section 10(2). If the test shows the meter to be more than 2% fast the \$10.00 fee paid by the member shall be refunded.

22. FAILURE OF METER TO REGISTER OR METER TEST RESULTS ARE FAST OR SLOW

If test results on a member's meter show an average error greater than two percent (2%) fast or slow, or if a customer has been incorrectly billed for any other reason, except in an instance where Henderson Union has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a member, Henderson Union shall immediately determine the period during which the error has existed, and shall recompute and adjust the member's bill to either provide a refund to the member or collect an additional amount of revenue from the under billed member. Henderson Union shall readjust the account based upon the period during which the error is know to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period shall be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the member. If that data is not available, the average usage of similar member loads shall be used for comparison purposes in calculating the time period. If the member and Henderson Union are unable to agree on an estimate of the time period during which the error existed, the Public Service commission shall determine the issue. In all instances of member over billing, the member's account shall be credited or the over billed amount refunded at the discretion of the member within thirty (30) days after final meter test results. Henderson Union shall not require member repayment of any under billing to be made over a period shorter than a period coextensive with the under billing.

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23. DISCONTINUANCE OF SERVICE BY HENDERSON UNION EC

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

Henderson Union will discontinue or refuse service without notice to a member or an applicant when a dangerous condition is found to exist on the member's or applicant's premises. Henderson Union may refuse or discontinue service to an applicant or member, after proper notice for failure to comply with its rules and regulations, when a member or applicant refuses or neglects to provide reasonable access to the premises, for fraudulent or illegal use of service, or for nonpayment of bills. If discontinuance is for nonpayment of bills, the member shall be given at least ten (10) days written notice separate from the original bill, and cut-off shall be effected not less than twenty-seven (27) days after the mailing date of the original bill unless prior to discontinuance, a residential member presents to Henderson Union a written certificate, signed by a physician, registered nurse, or public health officer, that such discontinuance will aggravate an existing illness or infirmity on the affected premises, in which case discontinuance may be effected not less than thirty (30) days from the date the utility notifies the member in writing of state and federal programs which may be available to aid in payment of bills and the office to contact for such possible assistance. The

BY John West
DIRECTOR, RATES & RESEARCH DIV.

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discontinuance of service by Henderson Union for any cause stated in this rule does not release the member of his obligation of all bills due. The termination date will not be affected by receipt of any subsequent bill.

The termination notice requirements of this subsection shall not apply if termination notice requirements to a particular member or members are otherwise dictated by the terms of a special contract between the utility and member.

24. THREE PHASE SERVICE

Members are required to negotiate a contract for all three-phase service except as otherwise provided herein. Term of contract is determined by amount of investment required.

25. ELECTRIC MOTORS

Any single-phase motor larger than 7 1/2 horse power will not be permitted except by written permission of Henderson Union. Larger motors must be three phase.

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26. PRIMARY METERING

At any time Henderson Union finds it more desirable, it may at its own option and expense install primary metering equipment. The member will own and operate all facilities past the metering point.

PURSUANT TO 207 KAR 5:011,
SECTION 9 (1)
BY Phyllis Fanning
DIRECTOR, RATES & RESEARCH DIV

27. EXTENSIONS TO UNDERGROUND SERVICE

Henderson Union will extend underground facilities to areas which physically and economically lend themselves to this type of service under the following terms and conditions which insure adequate service and safety to all persons engaged in the construction, maintenance, operations, or use of underground facilities and to the public in general. The terms and conditions also reflect and protect the rate payers who are served with overhead facilities from subsidizing those served with higher cost underground facilities and in general requires the reimbursement of the cost difference between overhead and underground facilities necessary to serve a given load requirement.

1. Definitions

The following words and terms when used in these rules and regulations have the meaning indicated:

- (a) Applicant
The developer, builder or other person, partnership, association, corporation or

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governmental agency applying for the installation of an underground electric distribution system.

(b) **Building**

A structure enclosed within exterior walls or fire walls, built, erected, and framed of component structural parts and designed for less than five (5) family occupancy.

(c) **Multiple-Occupancy Building**

A structure enclosed within exterior walls or fire walls, built, erected and framed of component structural parts and designed to contain five (5) or more individual dwelling units.

(d) **Plant, Store, Warehouse, Commercial, School, Church, Community Building, Industrial, etc.**

A structure (or structures) other than residential occupancy where power is used for any type of service classification other than residential.

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(e) **Distribution System**

Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishings of electric power at utilization voltage.

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(f) **Subdivisions**

The tract of land which is divided into ten (10) or more lots for the construction of new residential buildings, or the land on which is constructed two (2) or more new multiple occupancy buildings.

BY Phyllis Lamm
REGULATORY RATES & RESEARCH DIV

(g) **Individual Service**

Any service resulting in only one metering point on a permanent type building used as a residence.

(h) **Indeterminate Service**

Includes service to mines, quarries, oil wells, industrial and commercial enterprises of speculative purposes, seasonal use of any type, real estate subdivision, development of property for sale, enterprises where the applicant will not be the user of service, where there is little or no demand for service, tenant house, seasonal cabins, rental property and to barns, wells, and other service where the amount of permanency of service cannot be reasonably assured.

(i) **Trenching & Backfilling**

Opening and preparing the ditch for the installation of conductors including placing of raceways under roadways, driveways, or paved areas; providing a sand bedding below and

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above conductors when required; and backfill of trench to ground level. Minimum depth 48" primary, 4" secondary.

2. Rights-of-Way & Easements

(a) Henderson Union shall construct, own, operate, and maintain distribution lines only along easements, public streets, roads, and highways which are legal right accessible to the utility's equipment and which the utility has the legal right to occupy, and on the public lands and private property across which rights-of-way and easements satisfactory to Henderson Union are provided without cost or condemnation by Henderson Union.

(b) Obtaining easements and rights-of-way necessary to extend service is the responsibility of Henderson Union. Henderson Union shall not require a prospective customer to obtain easements or rights-of-way on property not owned by the prospective customer as a condition of providing service. The cost of obtaining easements or rights-of-way shall be included in the total per foot cost of an extension, and shall be apportioned among the utility and customer in accordance with the applicable extension regulation.

(c) Rights-of-way and easements suitable to Henderson Union at the underground distribution facilities must be furnished by the Applicant in reasonable time to meet service requirements. The Applicant shall make the area in which the underground distribution facilities are to be located accessible to Henderson Union's equipment, remove all obstructions from such area, stake to show the property lines and final grade, and maintain clearing and grading during construction by Henderson Union. Suitable land rights shall be granted to Henderson Union obligating the Applicant and subsequent property owners to provide continuing access to the utility for operation, maintenance or replacement of its facilities, and to prevent any encroachment in the utility's easement or substantial changes in grade or elevation thereof.

(d) Where not feasible to trench under roads, highway, railroads, lakes, streams, etc., Henderson Union shall have the right to place this portion overhead with the granting of easements (at no cost to Henderson Union) for such overhead construction.

3. Installation of Underground Distribution System - Subdivisions

(a) Where appropriate contractual arrangements have been made, Henderson Union shall install within the subdivision an underground electric distribution system of sufficient capacity and suitable materials which, in its judgement, will assure that

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the property owner(s) will receive safe and adequate electric service for the foreseeable future.

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SECTION 9 (1).

BY: Phyllis Lammie
DIRECTOR, RATES & RESEARCH DIV

- (b) All single phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment and meter cabinets shall be placed above ground.
- (c) Multi-phase primary mains or feeders required within a subdivision to supply local distribution or to service individual multi-phase loads may be overhead unless underground is required by governmental authority or chosen by the Applicant, in either of which case the differential cost of underground shall be borne by the Applicant.

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- (d) If the Applicant has complied with the requirements herein and has given Henderson Union not less than 10 days written notice prior to the anticipated date of the completion (i.e., ready for occupancy of the first building) in the subdivision, Henderson Union shall complete the installation 30 days prior to the estimated completion dates. (Subject to weather and ground conditions and availability of materials and barring extraordinary or emergency circumstances beyond the reasonable control of Henderson Union.) However, nothing in this policy shall be interpreted to require Henderson Union to extend service to portions of the subdivision not under active development.

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1).

BY: Phyllis Lammie
DIRECTOR, RATES & RESEARCH DIV

- (e) A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the Applicant shall be determined from the total footage of single-phase primary, secondary, and service conductor to be installed at an average per foot cost differential in accordance with the Average Cost Differential filed herewith as Exhibit "A", which Average Cost Differential shall be updated annually as required by order dated February 2, 1973 of the Public Service Commission of Kentucky in Administrative Case No. 146. (Three (3) wire secondary and service conductor runs shall be considered as one conductor, i.e., triplex). The average cost differential per foot, as stated, is representative of construction in soil free of rock, shale, or other impairments which are anticipated or encountered in construction, the actual increased cost of trenching and backfilling shall be borne by the Applicant.
- (f) The Applicant may be required to deposit the entire estimated cost of the extension. If this is done, the amount deposited in excess of the normal charge for the underground extensions, as provided in paragraph "e" above, shall be refunded to the applicant over a ten (10) year period as provided in 807 KAR 5:041 Section 3.

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Month Day Year

Month Day Year

ISSUED BY John West President & CEO P. O. Box 18, Henderson, KY 42420

John West

Title

Address

2/29/96

HENDERSON UNION ELECTRIC
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For All Territory Served

P.S.C. No. 9

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Canceling P.S.C. KY No. 8

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RULES AND REGULATIONS

- (g) The Applicant may be required to perform all necessary trenching and backfilling in accordance with Henderson Union's specifications. Henderson Union shall then credit the applicant's cost in an amount equal to Henderson Union's normal cost for trenching and backfilling.
- (h) Henderson Union shall furnish, install and maintain the service lateral to the Applicant's meter base except that the Applicant shall furnish and install proper size metal conduit from the meter base to two (2) feet below ground level. When conditions require it and at its discretion, Henderson Union will install twenty (20) foot section of proper size conduit (metal or PVC) from Applicant's below grade conduit termination, back toward source.

EXHIBIT "A"

INSTALLATION OF UNDERGROUND DISTRIBUTION SYSTEM-SUBDIVISIONS

Single Phase, Loop Feed

Estimated Cost per foot Underground **\$6.60**

Estimated Cost per foot Overhead **\$3.20**

Cost Differential per foot **\$3.40**

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Phillip J. Farris
DIRECTOR, RATES & RESEARCH DIV.

- (i) Plans for the location of all facilities to be installed shall be approved by Henderson Union and the Applicant prior to construction. Alterations in plans by the Applicant will require additional cost of installation or construction shall be at the sole expense of the Applicant.
- (j) Henderson Union shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.
- (k) The charges specified in these rules are based on the promise that each Applicant will cooperate with the utility in an effort to keep the cost of construction and installation of the underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.
- (l) All electrical facilities shall be installed and constructed to comply with the rules and regulations of the Public Service Commission, National Electric Safety Code, Henderson Union specifications, or other rules and regulations which may be applicable.

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HENDERSON UNION ELECTRIC
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RULES AND REGULATIONS

- (m) Service pedestals and method of installation shall be approved by Henderson Union prior to installation.
- (n) Henderson Union shall backfill only once and in the event of further settling or washing, the Applicant shall be responsible for all necessary additional backfilling.
- (o) An additional \$20.00 per linear trench foot shall be charged where extremely rocky conditions are encountered, such conditions being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more which cannot be removed using ordinary excavation equipment.
- (p) In the event of a grade change which results in Henderson Union reburying or setting deeper any underground facility to maintain safety limits, the entire cost of such reburying or relocation shall be borne by Applicant.
- (q) In unusual circumstances, when the application of these rules appears impracticable or unjust to either party, or discriminatory to other members, Henderson Union or Applicant shall refer the matter to the **PUBLIC SERVICE COMMISSION OF KENTUCKY** for a special ruling or for the approval of special conditions which may be agreed upon, prior to commencing construction.

**PUBLIC SERVICE COMMISSION
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4. Installation of Underground Facilities to Individual Service Delivery Points

FEB 22 1996

- (a) Where primary and secondary conductors are involved, Henderson Union shall estimate the cost to provide adequate service both overhead and underground and the Applicant shall pay such difference in cost as a non-refundable contribution prior to the commencement of such construction. **PURSUANT TO 807 KAR 5:011, SECTION 9 (1)**
- (b) Where only secondary conductors are involved, Henderson Union shall pay for underground conductors as follows:

By: Phillip L. Farnish
PRESIDENT & CEO RESEARCH DIV

- 1. Where possible, Henderson Union will trench and backfill and install the secondary conductor, the Applicant shall pay three dollars and forty cents (\$3.40) per underground cable foot (pole to meter) prior to the commencement of such construction.

5. Change from Overhead to Underground Facilities

Where an existing member requests underground facilities and is presently being served with adequate overhead facilities, then the entire cost of such change shall be borne by the Applicant as a non-refundable contribution prior to the commencement of such construction. The cost includes:

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- (a) Labor, material, and overhead charges for the new installation, \$3.40 per underground cable foot. (Service only, pole to meter.)

6. Three Phase Requirements - Underground

Any member requiring three-phase loads which are to be served by URD cables shall be encouraged to install all three phase equipment rated for 120/208 or 277/480 volts. The transformer(s) are to be connected grd. wye - grd. wye to minimize the possibility of ferroresonance.

If the member insists on a voltage requiring a delta connected transformer, the member will be required to pay for the equipment required to avoid ferroresonance, such as (1) three phase OCB, (2) gang operated air break switch at riser pole, or (3) dummy loads.

28. WIRING - INSPECTION STANDARDS AND FEES

Standards. All wiring of members' premises must conform with the requirements of the National Electric Code, Ansi/NFPA 70 and Henderson Union Electric Henderson Union Corporation.

Inspections. All wiring installations shall be inspected prior to meter installations. Additions and/or changes to members wiring after original inspection shall be inspected. It shall be members' responsibility to report such additions and/or changes to Henderson Union.

Fees. Inspection fees shall be paid prior to inspection and meter installation.

(1) **Time of Payment**

- (a) New service inspection fee shall be paid with application for service.
- (b) Additional wiring inspection fee shall be paid at the time of member's report to Henderson Union of the proposed addition.

(2) **Fee Schedule**

Residential and Farm:

- (a) Rough in inspection fee for new construction, remodeling and/or additions. \$10.00
- (b) 100 amp, or less, service complete with branch circuits \$20.00

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PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: Phillip Larkin
DIRECTOR, RATES & RESEARCH DIV

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2/19/96

**HENDERSON UNION ELECTRIC
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- (c) 200 amp service complete with branch circuits \$30.00
- (d) 400 amp service complete with branch circuits \$35.00
- (e) Services above 400 amp \$.15 per amp

Whenever it is necessary to change out service entrance equipment, the above schedule shall apply.

In determining the fee for an installation involving a meter pole, the rating of the service on the meter pole shall govern the inspection fee. (Initial installation only.)

(3) Reinspection:

- (a) Reinspection fee of wiring installations previously turned down will be \$10.00

Waiving of Inspection. Henderson Union inspection requirements for the following will be waived:

1. Any wiring installation coming under the jurisdiction of the Fire Marshall's office. Henderson Union requires certification from the Fire Marshall's office that the job has passed inspection before it will be connected permanently.

29. BILLING

PUBLIC SERVICE COMMISSION
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Notices of amounts due and payable are sent to members of Henderson Union using four cycle billing periods based on map location on member's account. Date of current billing, penalty, late notice, and disconnect are as follows:

Billing Cycle	Billing Date	Penalty Date	Late Notice	Disconnect For Nonpayment
1	1st	15th	20th	11 days after late notice date
2	8th	23rd	28th	11 days after late notice date
3	15th	30th	5th following month	11 days after late notice date
4	20th	5th following month	10th following month	11 days after late notice date

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Phillip Lammie
DIRECTOR, RATES & RESEARCH DIV

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For All Territory Served

P.S.C. No. 9

Revised Sheet No. 17

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Original Sheet No. 17

RULES AND REGULATIONS

BILL FORM

HENDERSON UNION ELECTRIC COOPERATIVE
6402 Old Corydon Rd., Post Office Box 18
Henderson, KY 42420-0018



ACCOUNT NUMBER	METER NUMBER

READ YOUR METER ON THE



MARK EXACTLY AS APPEARS ON METER

DATE METER READ _____

I Am Voluntarily Adding \$ _____ To My Payment For WinterCare

DATE BILLED	DUE DATE	PAY THIS AMOUNT AFTER DUE DATE	PAY THIS AMOUNT ON OR BEFORE DUE DATE

PLEASE RETURN ENTIRE BILL WHEN PAYING IN PERSON - PLEASE RETURN UPPER PORTION WHEN PAYING BY MAIL

SERVICE ADDRESS		ACCOUNT NUMBER			
		DUE DATE	PAY THIS AMOUNT AFTER DUE DATE	PAY THIS AMOUNT ON OR BEFORE DUE DATE	
SERVICE	READINGS FROM TO	METER NUMBER	MULT.	KWH USE	CHARGES

OF KENTUCKY
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PURSUANT TO 207 KAR 5011,
SECTION 9 (1)

BY: Phyllis Lammie
DIRECTOR, RATES & RESEARCH DIV

OFFICE / EMERGENCY NUMBERS: (502) 826-3991 (HENDERSON), (502) 965-3186 (MARION), TOLL FREE IN KY 1-800-844-HUEC
OFFICE HOURS: MONDAY - FRIDAY 7:30 AM - 4:30 PM

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**HENDERSON UNION ELECTRIC
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For All Territory Served

P.S.C. No. 9

Revised Sheet No. 18

Canceling P.S.C. KY No. 8

Original Sheet No. 18

RULES AND REGULATIONS

BILL FORM - DEMAND METER INVOICE

HENDERSON UNION ELECTRIC COOPERATIVE
6402 Old Corydon Rd., Post Office Box 18
Henderson, KY 42420-0018



417-9

Example

ACCOUNT NUMBER	METER NUMBER
READ YOUR METER ON THE <u>XXXX</u>	
MARK EXACTLY AS APPEARS ON METER	
3	<input type="checkbox"/> XX <input type="checkbox"/> 44 <input type="checkbox"/> XX <input type="checkbox"/> XX <input type="checkbox"/> XX <input type="checkbox"/> 1 <input type="checkbox"/> XX <input type="checkbox"/> XX <input type="checkbox"/> XX <input type="checkbox"/> XX

DATE METER READ _____

I Am Voluntarily Adding 3 _____ To My Payment For WinterCare

2/05/95	2/15/96	36,306.51	34,577.63
DATE BILLED	DUE DATE	PAY THIS AMOUNT AFTER DUE DATE	PAY THIS AMOUNT ON OR BEFORE DUE DATE

PLEASE RETURN ENTIRE BILL WHEN PAYING IN PERSON - PLEASE RETURN UPPER PORTION WHEN PAYING BY MAIL
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

SERVICE ADDRESS		ACCOUNT NUMBER	
		DUE DATE	PAY THIS AMOUNT AFTER DUE DATE
		2/15/96	36,306.51
			PAY THIS AMOUNT ON OR BEFORE DUE DATE
			34,577.63

SERVICE	READINGS FROM	TO	METER NUMBER	MULT.	KWH USE	CHARGES
	12/31	1/31			642640	
LARGE POWER LP3 501 TO 2000 KW						
PREVIOUS MONTH'S CREDIT BALANCE						
FACILITY CHARGE						
KW DEMAND 1,924.000 TIMES 10.15						
KWH X RATE = COST						
100,000 .0310346 3,103.46						
542,640 .0254406 13,305.09						
KWH CHARGE 16,908.55						
FUEL CREDIT 642640(KWH) X .002370000-(FUEL RATE) 1,344.38CR						
METER SURCHARGE 926.29						
P/F PENALTY CHARGE 974.40						

PLEASE PAY THIS AMOUNT BY 02/15/96 34,577.63
PLEASE PAY THIS AMOUNT AFTER 02/15/96 36,306.51

KW DEMAND FOR PAST 12 MONTHS

2/95	1502.000	3/95	1744.000	4/95	1708.000	5/95	1755.000
6/95	1459.000	7/95	1517.000	8/95	1508.000	9/95	1320.000
10/95	1833.000	11/95	1914.000	12/95	1773.000	1/96	1924.000

OFFICE / EMERGENCY NUMBERS (502) 326-1991 (HENDERSON) (502) 965-3196 (MARION) TOLL FREE IN KY 1-800-844-HUEC
OFFICE HOURS MONDAY - FRIDAY 7:30 AM - 1:30 PM

PURSUANT TO 807 KAR 5.011, SECTION 9(1)
BY: Phyllis Linn
DIRECTOR, RATES & RESEARCH DIV.

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John West Title Address

HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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For All Territory Served

P.S.C. No. 9

Revised Sheet No. 19

Canceling P.S.C. KY No. 8

Original Sheet No. 19

PURSUANT TO 807 KAR 5011,
RULES AND REGULATIONS

BY: Phyllis Farnen
DIRECTOR, RATES & RESEARCH DIV

BILL FORM - LATE NOTICE/DISCONNECT NOTICE

HENDERSON UNION ELECTRIC COOPERATIVE
6402 Old Corydon Road
P.O. Box 18
Henderson, Kentucky 42420-0018

PHONE (502) 826-3991 • TOLL FREE 1-800-844-HUEC
OFFICE HOURS - 7:30 AM TO 4:30 PM MONDAY - FRIDAY

DATE SUBJECT TO DISCONNECT	
DATE OF THIS NOTICE	DATE BILL WAS DUE

ACCOUNT NUMBER	SERVICE ADDRESS	AMOUNT DUE

IMPORTANT NOTICE
CONCERNING YOUR PAYMENT AND ELECTRIC SERVICE

Dear Member:

Our records indicate that we had not received payment for your electric bill by its due date. If payment has been made prior to your receipt of this notice, we thank you and kindly ask that you disregard this notice. If you have not remitted your payment, please give this matter your immediate attention.

NOTICE OF INTENT TO TERMINATE ELECTRIC SERVICE

Unless we receive payment in full or alternative payment arrangements are made (see reverse side) within ten (10) days of this FINAL NOTICE, a serviceman will be dispatched to collect same and collect an additional service charge. In the event service is disconnected, electric service cannot be reconnected until all amounts including service charges and deposits are paid in full. This is in accordance with policy established by your Board of Directors. THIS IS YOUR FINAL NOTICE. Termination date shall not be effected by receipt of any subsequent bill.

METER SERIAL NUMBER

See reverse side for additional information regarding disconnect procedure.

IF YOU HAVE PAID YOUR BILL SINCE DUE DATE, PLEASE DISREGARD

PAST DUE
NOTICE

ACCOUNT NUMBER
TOTAL AMOUNT DELINQUENT
AMOUNT ENCLOSED

PLEASE MAKE CHECK OR MONEY ORDER PAYABLE TO:

HENDERSON UNION ELECTRIC COOP

PLEASE RETURN THIS STUB WITH YOUR REMITTANCE

NOTICE OF CUSTOMER RIGHTS AND REMEDIES

- This notice gives pursuant to the regulations of the Kentucky Public Service Commission providing generally that:
1. Electric service shall not be terminated if payment is delivered within the specified time to either Henderson Union's offices located in Henderson, Marion and Dixon, Kentucky, or to any employee dispatched to disconnect service.
 2. Service to a residence will not be terminated when the customer and Henderson Union have negotiated a partial payment plan covering the payment of any delinquent amounts and the customer is meeting the requirements of the plan.
 3. A residential customer and Henderson Union may negotiate a budget payment providing for the payment of a fixed amount each month on a yearly basis in lieu of actual monthly billings.
 4. Local, state and federal programs are available which provide financial assistance in the payment of utility bills for those who may qualify for such assistance. Henderson Union will upon request make available a list of known assistance programs or you may call the Kentucky Association for Community Action, Inc. at 1-800-456-3452, or the Department of Human Resources Ombudsman at 1-800-372-2973.
 5. If an existing illness or infirmity of a resident of the affected residence (supported by written certificate of a physician, registered nurse, or public health officer) would be aggravated by the termination of electric service, termination shall not be effected until said resident can make other living arrangements, or until thirty (30) days have elapsed from the date Henderson Union gives written notice of possible programs offering financial assistance in the payment of utility bills.
 6. You have the right to dispute the reason for the service termination.
 7. You have the right to contact the Kentucky Public Service Commission concerning this notice: Telephone 1-800-772-4636
- IF YOU HAVE ANY QUESTIONS CONCERNING THIS NOTICE OR YOUR PAST-DUE BILL, OR IF YOU DESIRE FURTHER INFORMATION OR ASSISTANCE CONCERNING ANY OF THESE MATTERS OR YOUR RIGHTS, PLEASE VISIT OR CALL IMMEDIATELY ANY OF HENDERSON UNION'S THREE OFFICES NOTED BELOW.

MAIN OFFICE
6402 Old Corydon Road
P.O. Box 18
Henderson, Kentucky 42420
502-826-3991

MARION BRANCH
703 Main Street
P.O. Box 268
Marion, Kentucky 42064
502-965-3186
TOLL FREE NUMBER: 1-800-844-4832

DIXON BRANCH
Gardner-Polley, Ins.
Court House Square
Dixon, Kentucky 42409
502-639-9123

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HENDERSON UNION ELECTRIC
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30. COLLECTION CHARGE

Should it become necessary for a representative of Henderson Union to call at the member's premises or other location for the purpose of collecting a delinquent account, a charge of \$10.00 will be made to the member's account for the extra service rendered, due and payable at such time delinquent account is collected. Henderson Union will charge a collection charge only once in any bill period. If service is discontinued for nonpayment, an additional charge of \$10.00 will be made for reconnecting service, due and payable at time of such reconnection. (T)

31. RETURNED CHECK CHARGE

When a check is received in payment of a member's account and returned unpaid by a bank for any reason, such account, together with all others owed by the member shall be due and payable upon demand, and such member subject to discontinuance of service without further notice.

Henderson Union will assess a \$10.00 handling fee for any check that is returned to Henderson Union from the member's bank for insufficient funds or any reason for nonpayment.

32. SPECIAL METER READING CHARGE

All meters with demand devices are read by a representative of Henderson Union.

Henderson Union utilizes a one-card system which includes the bill and the meter card. The bill/meter card is mailed monthly to all other members. Upon failure of a member to return the meter reading card for three consecutive months, Henderson Union shall have its representative read the member's meter and a service charge of \$10.00 will be made for the extra service rendered. The service charge will be made to the member's account and will be due and payable upon notice of said charge. In the event that an error in meter reading should be made, then the member shall pay for that month an equal to approximately his average bill. The following month his bill shall be computed on the regular schedule prorated for two months, and the amount paid shall be credited.

33. MONITORING USAGE

The following procedure has been established for monitoring member usage so as to detect any unusual deviations in individual member usage and the reasons for such deviations:

(a) The computerized billing system is programmed to automatically alert Henderson Union to any member provided monthly meter readings which would cause KWH usage to be significantly higher or lower than usual. The criteria employed in the computer program to determine "high" usage is the current month's KWH usage is 200% higher than the prior month's usage. The "low" usage computer program criteria is when the current month's KWH usage is

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PUBLIC SERVICE COMMISSION
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Phyllis Lancia
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For All Territory Served

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HENDERSON UNION ELECTRIC
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50% less than the prior month's usage. Based on these criteria, a computer exception report is produced daily that identifies member's accounts which have significantly higher or lower KWH usage than in the previous month.

(b) The daily high/low exception report is reviewed by Henderson Union billing department personnel, wherein they consider the type of service, past KWH usage history, weather conditions, or other unique circumstances in trying to determine cause. If the cause for deviation cannot be determined from analysis of member's billing records, Henderson Union will contact customer by phone or in writing for additional verification of meter reading.

(c) Where the deviation is not other wise explained, Henderson Union will test the member's meter to determine proper registration as prescribed by regulations of Kentucky Public Service Commission. Henderson Union will notify the customer of the investigation, its findings, and any refunds or backbilling in accordance with 807 KAR 5:006, Section 10(4) and (5). Henderson Union will use the same process to investigate usage deviations brought to its attention as a result of its ongoing meter reading programs or by member inquiry.

34. RATES

Member billing is prepared on basis of rates approved by the Board of Directors and the Public Service Commission.

35. DELINQUENTS

In case a delinquent bill is uncollected, and service disconnected by reason thereof, then payment may be required of all amounts due by such member before reconnection.

Classification of Members

36. SCHEDULE "A" - RESIDENTIAL (Single Phase- 50 KVA Installed Capacity or Less)

This rate shall apply to electric service to single family dwellings, residences, schools, churches, civic organizations and like member of a noncommercial nature.

37. SCHEDULE "B" - FARM, GOVERNMENT, OR COMMERCIAL (50 KVA INSTALLED CAPACITY OR LESS)

This rate shall apply to electric service accounts for commercial, farm and government members.

38. SCHEDULE "B-1" - FARM OR COMMERCIAL (51 TO 501 KVA INSTALLED CAPACITY)

This rate shall apply to any farm, school, church, or commercial service located on or near three-phase service.

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- 39. SCHEDULE "B-2" - GRAIN BIN (51 TO 500 KVA)
This rate shall apply to any grain drying service.
- 40. SCHEDULE "LP-3" - (501 TO 2000 KW) Dedicated Delivery Point
This rate shall apply for large power members contracting for a demand of 501 to 2000 KW.
- 41. SCHEDULE "LP-3" - (501 TO 2000 KW) Non-dedicated Delivery Point
This rate shall apply for large power members contracting for a demand of 501 to 2000 KW.
- 42. SCHEDULE "LP-3 OFF-PEAK RATE RIDER - LARGE POWER (501 TO 2000 KW) Non-dedicated Delivery Point
This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.
- 43. SCHEDULE "LP-4" - LARGE POWER (Over 2000 KW)
This rate shall apply for large power members contracting for a demand of not less than 2001.
- 44. SCHEDULE "SL" - STREET LIGHTS
This rate shall apply to any member or organization within service area requiring "SL."
- 45. SCHEDULE "D" - SECURITY LAMP
This rate shall apply to any member within the service area.
- 46. TERMS OF PAYMENT

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BY: *Phyllis Lammie*
DIRECTOR OF RATES & RESEARCH DIV

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

47. NO PREJUDICE OF RIGHTS

Failure by Henderson Union to enforce any of the terms of this tariff shall not be deemed as a waiver of the right to do so.

48. FUEL ADJUSTMENT CHARGE

In case for any month the rate under which Seller (Henderson Union Electric Henderson Union) purchases power wholesale from its supplier (Big Rivers Electric Corporation) is adjusted in accordance with any present or future fuel cost or surcharge provided for in Seller's wholesale power contract or by order of the Public Service Commission, then the foregoing monthly energy charges shall be adjusted for each kilowatt hour sold by dividing the total dollar amount of such fuel and surcharge cost adjustment to Seller attributable to each class of service by the total number of kilowatt hours sold by Seller for such class of service for the billing month.

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Original Sheet No. 23

RULES AND REGULATIONS

(The above Fuel Adjustment Charge is subject to the provisions of and may be amended from time to time to conform to 807 KAR 5:056 and to any adjustment if the fuel clause or other increments which may be made in Big Rivers Electric Corporation's fuel charges under 807 KAR 5:056 by the Public Service Commission.)

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(N)
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49. **ENVIRONMENTAL SURCHARGE**

(1) Billings to Customers Served From Non-Dedicated Delivery Points

Billings computed pursuant to rate schedules to which this environmental surcharge is applicable shall be increased or decreased during each month by the following environmental surcharge factor applied to each kilowatt hour sold:

PURSUANT TO 807 KAR 5:011,
BY Phillip J. Tamm
DIRECTOR, RATES & RESEARCH DIV

$$\text{Environmental Surcharge Factor} = \frac{EN(m)}{P(m)}$$

Where EN is the aggregate monthly charge or credit from the seller's wholesale power supplier for all non-dedicated delivery points for environmental surcharge (plus any over/under recovery from the prior month) and P is the total purchased, less line losses equal to a twelve-month moving average not to exceed ten percent (10%). (M) period shall be the first month preceding the month in which the environmental surcharge is billed.

(2) Billings to Customers served from Dedicated Delivery Points (No Line Losses to Seller)

Billings computed pursuant to rate schedules or special contracts to which this environmental surcharge is applicable shall be increased or decreased during each month equal to the amount billed to the seller for the customer's dedicated delivery point by the wholesale power supplier for environmental surcharge.

(3) Rate schedule (1) above shall apply to Henderson Union Electric Cooperative rate schedules "A", "B", "B-1", "B-2", "B-2" - Grain Bin, "LP-3 Nondedicated Dedicated Delivery", "LP-3 Off Peak Non-dedicated Rate Rider", "SL", "D", and rate schedule (2) above shall apply to Henderson Union Electric Cooperative rate schedules "LP-3 Dedicated Delivery Point", and "LP-4" and ALCAN SMELTER RATE.

50. **BUDGET BILLING**

Henderson Union has a budget payment plan available for its residential members whereby a member may elect to pay a monthly amount for the budget year in lieu of monthly billings for actual usage. The monthly budget payment will be determined by Henderson Union based, under normal circumstances, on a minimum of one-twelfth of the estimated annual usage, subject to review and adjustment during the budget year. The normal budget year will be October through September with the settlement month in September.

DATE OF ISSUE February 2, 1996 DATE EFFECTIVE February 22, 1996
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HENDERSON UNION ELECTRIC
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For All Territory Served

P.S.C. No. 9

Revised Sheet No. 24

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Original Sheet No. 24

RULES AND REGULATIONS

The following are the conditions of the Budget Billing contract:

The member's account may be adjusted through a series of levelized adjustments on a monthly basis if usage indicates that the account will not be current upon payment of the last budget amount.

Any member being billed by budget payment contract agrees to all rules, regulations and policies as all other members receiving service from Henderson Union.

In addition to making the budget payment monthly, the member agrees to render a meter reading by the due date or if the account is estimated for three consecutive months, Henderson Union personnel will read the meter which will result in a \$10.00 meter reading fee being added to the bill.

If member fails to pay bills as rendered under the budget payment plan, Henderson Union reserves the right to revoke the plan, restore the member to regular billing and require immediate payment of any deficiency.

The member may cancel the budget payment plan by giving a 30-day notice or by disconnection of service. Upon termination of the contract, the final billing will be adjusted to actual usage.

Failure to receive a bill in no way exempts member from the provision of these **TERMS AND CONDITIONS.**

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Fuel clause adjustments (+/-) are in addition to the minimum. Members's bill will be due within 10 days from date of bill.

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51. **ENERGY EMERGENCY CONTROL PROGRAM**

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

Purpose -To provide a plan for reducing the consumption of electric energy on Henderson Union Electric Cooperative Corporation (Company) system in the event of a severe coal shortage such as might result from a general strike in the coal mines, or from a request for load curtailment from our wholesale supplier or other sources of information.

DIRECTOR/RATES & RESEARCH DIV.

For the purpose of this program, the following priority levels have been established:

- I. Essential Health and Safety Uses-as defined in Appendix A
- II. Residential Uses-as defined in Appendix B
- III. Commercial Uses-as defined in Appendix B
- IV. Industrial Uses-as defined in Appendix B
- V. Nonessential Uses-as defined in Appendix C

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**HENDERSON UNION ELECTRIC
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RULES AND REGULATIONS

Procedures—In the event of a potential severe coal shortage, such as one resulting from a general coal strike the following steps will be implemented. These steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction. The "days' operation" referred to below will be furnished by the generation and transmission utility which supplied the wholesale power to the company. After each curtailment of electric service, the generation levels will be adjusted to the new, reduced level in the calculation by the generation and transmission utility of the "days' operation" of remaining coal inventory.

- I. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 50 days' operation of coal-fired generation and a continued downward trend in coal stocks is anticipated:
 - 1) Curtail the use of energy in all company offices, plants and homes.
- II. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 40 days' operation of coal-fired generation and a continued downward trend in coal stocks is anticipated:
 - 1) Curtail electric energy consumption by members on interruptible contracts to a maximum number of hours of use per week as negotiated within the context to the contract provisions.
 - 2) Through use of the news media and direct member contact, advise all members, both retail and wholesale, to voluntarily reduce their use of electric energy as much as possible, and in any case endeavor to reduce the nonessential use of electricity (Priority Level V) by at least 5%.
 - 3) The Company shall advise members of the nature of the mandatory program to be introduced in Section III below through direct contact and mass media, and establish an effective means of answering specific member inquires concerning the impact of the mandatory program on his electricity availability.
- III. To be initiated—in the order indicated below—when Big Rivers Electric Corporation's fuel supplies are decreased to 30 days' operation of coal-fired plants and continued downward trend in coal stocks is anticipated:
 - 1) Implement mandatory curtailment of electric service to all members as indicated below:
 - (a) Priority Level V - 100%
 - (b) Priority Level IV-5% (based on the "monthly base period use" as defined in Appendix D)

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SECTION 9 (1)
BY: *Phillip Lammie*
DIRECTOR, RATES & RESEARCH DIV.

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**HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION**

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RULES AND REGULATIONS

- (c) Priority Level II and Priority Level III-15%
- 2) Utilize voltage reduction as a means of reducing KWH consumption if this is deemed a feasible and viable measure in the Company's service area.
- 3) The Company shall advise all members of the mandatory program specified in Section IV below.
- IV. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 0 days' operation of coal-fired generation (at the daily burn rate resulting from the curtailments implemented in Section III, above) and continued downward trend in coal stock is anticipated.
- 1) Implement mandatory curtailment of electric service to all members as indicated below:
- (a) Priority Level V-100%
- (b) Priority Level IV-50% (an additional 5% of the original "monthly base period use" as defined in Appendix D).
- (c) Priority Level II and Priority Level III 5%
- (d) Priority Level I-10%
- 2) The company shall advise all members of the mandatory program specified in Section V below.
- V. To be initiated when the Big Rivers Electric Corporation notifies the Company that fuel supplies are decreased to a level which will result in 60 days' operation remaining for Priority Levels I, II, and III, after the following curtailment has been implemented.
- 1) Implement mandatory curtailment of electric service to all priority levels (including Priority Level I) at a minimum service level which is not greater than that required for protection of human life and safety, protection of physical plant facilities, and employees' security.
- 2) The Company shall advise all members of the mandatory program specified in Section VI below.
- VI. To be initiated as a measure of last resort when Big Rivers Electric Corporation's fuel supplies are decreased to 15 days' operation of coal fired generation (at daily burn rate resulting from the curtailments implemented in Section V, above) and continued downward trend in coal stocks is anticipated:

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SECTION 9 (1)
BY: Phyllis Lanning
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For All Territory Served

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- 1) Implement procedures for interruption of selected distribution circuits on rotational basis, while minimizing--to the extent practicable--interruption to Priority Level I.

With regard to mandatory curtailment identified in Sections III, IV, V, and VI above, the Company proposes to monitor compliance after the fact, to the extent feasible, as approved by the Commission. A member exceeding his electric energy allotment would be warned to curtail his usage or face, upon continuing noncompliance and upon one day's written notice, disconnection of electric service for the duration of the energy emergency.

Termination of Energy Emergency--The Energy Emergency ~~Order~~ shall be terminated upon notice of the Commission, when

- (a) the remaining days of operation of coal-fired generation COOPERATIVE least 0 days
- (b) coal deliveries have been resumed
- (c) there is reasonable assurance that the coal stocks are being restored to adequate levels.

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PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)
BY: Phyllis Lammie
DIRECTOR, RATES & RESEARCH DIV.

**APPENDIX "A"
ESSENTIAL HEALTH AND SAFETY USES**

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of person. These uses shall include essential street, highway and signal-lighting services.
- (d) "Fire Station", which shall be limited to facilities housing mobile fire-fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.

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RULES AND REGULATIONS

- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution—for fuel—of natural or manufactured gas, coal, oil or gasoline.
- (l) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the member's load.

Although these types of uses will be given special consideration when implementing the manual load-shedding provision of this procedure, these members are encouraged to install emergency generation equipment if continuity of service is essential. In case of members supplied from two utility sources, only one source will be given special consideration. Also, any other member who, in their opinion, have critical equipment should install emergency generation equipment.

**APPENDIX "B"
RESIDENTIAL USES, COMMERCIAL USES, AND INDUSTRIAL USES**

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"Residential Uses", "Commercial Uses", and "Industrial Uses", shall be defined for purposes of this Energy Emergency Control Program to be the same as the "Residential", "Commercial" and "Industrial" classifications, respectively, in the rate schedules in the Electric Distribution Utility Company's tariff, with the exclusion of those uses defined as "Essential Health and Safety Uses" in Appendix A and those defined as "Nonessential Uses" in Appendix C.

PURSUANT TO 202 KAR 5.011,
SECTION 9 (1)
BY: Phyllis Larkin
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**APPENDIX "C"
NONESENTIAL USES**

The following and similar types of uses of electric energy and others which the Commission may subsequently identify shall be considered nonessential for all members:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show-window and display lighting.
- (d) Parking-lot lighting above minimum functional levels
- (e) Energy use greater than that necessary to maintain a temperature of not less than 78 degrees during operations of cooling equipment and not more than 65 degrees during operation of heating equipment.
- (f) Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
- (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

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HENDERSON UNION ELECTRIC
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For All Territory Served

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RULES AND REGULATIONS

**APPENDIX "D"
MONTHLY BASE PERIOD**

"Monthly Base Period Use" is defined as the member's usage during the corresponding monthly billing cycle of the twelve monthly billing periods immediately prior to December 31 of the year immediately preceding the current year, adjusted to reflect any increases or decreases of load in the most recent three-month period due to the installation or removal of equipment or a change in operating rate as computed in the formula.

Upon application by the member and agreement by the Company, a one-time adjustment of the monthly energy use of the twelve-month billing period ending December 31 of the year immediately preceding the current year, or an adjustment of the prior three-month usage (PQKWH), will be made to correct any abnormalities of energy use resulting from such events as strikes and breakdowns of major equipment that may have occurred during the period in question. For members connected after December 31 of the year preceding the current year by one year, base period energy use will be negotiated between the member and the Company

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$$AMPB = CM \times \frac{PQ}{BPQ}$$

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WHERE:

AMBP = Adjusted Monthly Base Period (KW or KWH)

CM = Corresponding month during the year immediately preceding the current year

PURSUANT TO 207 KAR 5.011,
SECTION 9 (1)

PQ = Average use (KW or KWH) for the second, third and fourth monthly billing periods immediately prior to the date of the curtailment order. Should curtailment be extended so that one of the three monthly billing periods reflects usage under a curtailment period, the actual billing for that month is replaced with the AMBP previously calculated or that month.

BPQ = Average of corresponding three monthly billings prior to CM.

Example: (Curtailment ordered during month of May 1981)

1st Curtailment Month

Since the April 1981 billing may not always be available, then for uniformity to all members—from the time curtailment is ordered until the May meter reading date

$$\text{May '81} = (\text{Jan., Feb., March}) \text{ '81} \times \text{May '80} \\ (\text{Jan., Feb., March}) \text{ '80}$$

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John West

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For All Territory Served

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RULES AND REGULATIONS

2nd Curtailment Month

June '81 = (Feb., March, April) '81 x June '80
(Feb., March, April) '80

3rd Curtailment Month

Since May '81 will reflect electric use under a curtailment, May '81 will be replaced with May '81 as calculated in the 1st curtailment Month:

July '81 = (March, April, May) '81 x July '80
(March, April, May)

NOTE: The nomenclature for any one billing period is determined by the last reading date in the period, i.e., a bill from April to May is considered the May billing period.

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BY: Phyllis Lammie
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

OF

HENDERSON, KENTUCKY

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

Rates, Rules and Regulations for Furnishing

Electricity

FEB 22 1996

at

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

Counties of Henderson, Union, Webster, Crittenden,
Caldwell, Lyon, and Hopkins

BY: Phillip Lammie
DIRECTOR, RATES & RESEARCH DIV

Filed with the Public Service Commission of Kentucky

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HENDERSON UNION ELECTRIC
ISSUED BY COOPERATIVE CORPORATION

BY John West
President & Chief Executive Officer

C1/98

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

For All Territory Served
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Sixth Revised SHEET NO. 1

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 1

CLASSIFICATION OF SERVICE

SCHEDULE "A" - RESIDENTIAL (Single Phase)

AVAILABILITY

This rate shall apply to electric service to single family dwellings, schools, churches, civic organizations and like customers of noncommercial nature.

MONTHLY RATE

Customer Charge per delivery point.....	\$7.91	(I)
Energy Charge per kWh		
First 600 kWh06390	(I)
Next 400 kWh05750	(R)
Over 1,000 kWh04920	(R)

School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

TYPE OF SERVICE

Single phase with secondary voltages available in the vicinity or agreed by distributor.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220
Dated August 29, 1997

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Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan Bee
SECRETARY OF THE COMMISSION

For All Territory Served
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HENDERSON UNION ELECTRIC
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For All Territory Served
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Sixth Revised SHEET NO. 2

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 2

CLASSIFICATION OF SERVICE

SCHEDULE "A" - RESIDENTIAL (Single Phase)

Members 65 and older who have proved proof of age to cooperative will not be charge the five (5%) penalty on the current monthly bill at their primary residence. If payment is not received in thirty day period, five percent (5%) penalty will be applied.

The five percent (5%) penalty will be forgiven on one bill each calendar year on all customers in this class of service.

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Sixth Revised SHEET NO. 2

CANCELING P.S.C. 7

Fifth Revised SHEET NO. 2

CLASSIFICATION OF SERVICE

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BY: Stephan D. Bell
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Sixth Revised SHEET NO. 3

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 3

CLASSIFICATION OF SERVICE

SCHEDULE "B" - FARM, GOVERNMENT OF COMMERCIAL (50 KVA or Less)

AVAILABILITY

This rate shall apply to electric service accounts for commercial, farm and government consumers.

MONTHLY RATE

Customer charge Single-Phase	\$11.50	
Customer charge Three-Phase	\$18.00	(I)
Energy Charge per kWh.....	.059650	(R)

School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

TYPE OF SERVICE

(1) An agreement for purchase of power shall be executed by the Consumer if three-phase service is requested and built.

(2) A minimum bill will be imposed at a rate of 3% per month of total investment construction cost for extending this service for a period of three years, then the regular rate will apply.

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Form for Filing Rate Schedules

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BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

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CLASSIFICATION OF SERVICE

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Community, Town or City

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Sixth Revised SHEET NO. 4

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 4

CLASSIFICATION OF SERVICE

SCHEDULE "B" - FARM, GOVERNMENT OF COMMERCIAL (50 KVA or Less)

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997
(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220
Dated August 29, 1997

C.10/98

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Sixth Revised SHEET NO. 4

CANCELING P.S.C. 7

Fifth Revised SHEET NO. 4

CLASSIFICATION OF SERVICE

SCHEDULE "B" - FARM, GOVERNMENT OF COMMERCIAL (50 KVA or Less)

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
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SEP 02 1997

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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

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Name of Officer

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Dated August 29, 1997

C1/98

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. 7

Sixth Revised SHEET NO. 5

CANCELING P.S.C. 7

Fifth Revised SHEET NO. 5

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 to 501 KVA)

AVAILABILITY

This rate shall apply to any farm or commercial service located on or near three-phase service.

MONTHLY RATE

Customer charge per delivery point	\$25.00	(I)
Demand Charge per kW	3.50	

Energy Charge:

First 200 kWh/kW of Billing Demand, per KW.....	.053800	(R)
Next 200 kWh/kW of Billing Demand, per KW.....	.046200	(R)
Over 400 kWh/kW of Billing Demand, per KW.....	.039200	(R)

School Taxes added to bill if applicable
Kentucky Sales Taxes added to bill if applicable.

PRIMARY SERVICE DISCOUNT

If service is furnished at primary distribution voltage, a discount of \$.50 per kW of Billing Demand will be applied to the monthly bill if consumer owns and maintains all facilities beyond meter. The Cooperative shall have the option of metering at primary or secondary voltage. (N)

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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Name of Officer

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Dated August 29, 1997

C10/97

Form for Filing Rate Schedules

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7

SEP 02 1997

Sixth Revised SHEET NO. 5

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

CANCELING P.S.C. _____ 7

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Fifth Revised SHEET NO. 5

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 to 501 KVA)

AVAILABILITY

This rate shall apply to any farm or commercial service located on or near three-phase service.

MONTHLY RATE

Customer charge per delivery point	\$25.00	(I)
Demand Charge per kW	3.50	

Energy Charge:

First 200 kWh/kW of Billing Demand, per KW.....	.053800	(R)
Next 200 kWh/kW of Billing Demand, per KW.....	.046200	(R)
Over 400 kWh/kW of Billing Demand, per KW.....	.039200	(R)

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Kentucky Sales Taxes added to bill if applicable.

PRIMARY SERVICE DISCOUNT

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Name of Officer

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C1/p8

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 6

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 6

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 to 501 KVA)

TYPE OF SERVICE

Single or three-phase service with secondary voltage available in the vicinity or agreed by distributor.

CONDITIONS OF SERVICE

(1) An "Agreement for Purchase of Power" shall be executed by the consumer if three-phase service is requested and built.

(2) A minimum bill will be imposed at a rate of 3% per month of total investment construction cost for extending this service for a period of three years, then the regular rate will apply.

(3) All wiring, pole lines, wires, and other electrical equipment beyond the metering point, exclusive of the service transformers and protective equipment, is considered the distribution system of the consumer and shall be furnished and maintained by the consumer.

DETERMINATION OF BILLING DEMAND

The billing demand shall be the maximum kilowatt demand established by the consumer for any period of fifteen (15) consecutive minutes during the month for which the bill is rendered, as indicated or recorded by a demand meter.

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997
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Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220
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C10/98

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 6

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 6

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 to 501 KVA)

TYPE OF SERVICE

Single or three-phase service with secondary voltage available in the vicinity or agreed by distributor.

CONDITIONS OF SERVICE

(1) An "Agreement for Purchase of Power" shall be executed by the consumer if three-phase service is requested and built.

(2) A minimum bill will be imposed at a rate of 3% per month of total investment construction cost for extending this service for a period of three years, then the regular rate will apply.

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Dated August 29, 1997

C1/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 7

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 7

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 TO 501)

POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

BY: Stephan O. Bee
SECRETARY OF THE COMMISSION

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ISSUED BY John West TITLE President & CEO
Name of Officer

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C10/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Sixth Revised SHEET NO. 7

CANCELING P.S.C. 7

Fifth Revised SHEET NO. 7

CLASSIFICATION OF SERVICE

SCHEDULE "B-1" - FARM OR COMMERCIAL (51 TO 501)

POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.0% for each 1.0% by which the average power factor is less than 90% leading or lagging.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5:011,
SECTION 9(1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

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Form for Filing Rate Schedules

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

SEP 02 1997

P.S.C. _____ 7 _____

Third Revised SHEET NO.7.1

PURSUANT TO 807 KAR 5011,
SECTION 9(1)

CANCELING P.S.C. _____ 7 _____

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

Second Revised SHEET NO.7.1

CLASSIFICATION OF SERVICE

SCHEDULE "B-2" - GRAIN BIN (51 to 500 KVA)

AVAILABILITY

This rate shall apply to any grain drying service.

MONTHLY RATE

Customer charge per delivery point \$18.00 (I)

Energy Charge per kWh..... .0718000 (R)

School Taxes added to bill if applicable.

Sales Taxes added to bill if applicable.

TYPE OF SERVICE

Single or three-phase service with secondary voltage available in the vicinity or agreed by distributor.

CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be executed by all consumers requesting this rate. A minimum bill will be imposed at (1) A rate of 3% per month of the total investment construction cost for extending this service or (2) the regular customer charge, whichever is greater, for a period of three years. After the three year period, the regular customer charge will apply.

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C10/98

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5.011,
SECTION 9(1)

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Third Revised SHEET NO.7.1

CANCELING P.S.C. _____ 7 _____

Second Revised SHEET NO.7.1

CLASSIFICATION OF SERVICE

SCHEDULE "B-2" - GRAIN BIN (51 to 500 KVA)

AVAILABILITY

This rate shall apply to any grain drying service.

MONTHLY RATE

Customer charge per delivery point \$18.00 (I)

Energy Charge per kWh..... .0718000 (R)

School Taxes added to bill if applicable.

Sales Taxes added to bill if applicable.

TYPE OF SERVICE

Single or three-phase service with secondary voltage available in the vicinity or agreed by distributor.

CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be executed by all consumers requesting this rate. A minimum bill will be imposed at (1) A rate of 3% per month of the total investment construction cost for extending this service or (2) the regular customer charge, whichever is greater, for a period of three years. After the three year period, the regular customer charge will apply.

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Dated August 29, 1997

C1/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Third Revised SHEET NO. 7.2

CANCELING P.S.C. 7

Second Revised SHEET NO. 7.2

CLASSIFICATION OF SERVICE

SCHEDULE "B-2" - GRAIN BIN (51 to 500 KVA)

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)
BY: Stephan Bue
COMMISSION

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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Dated August 29, 1997

11/19/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7 _____

Third Revised SHEET NO. 7.2

CANCELING P.S.C. _____ 7 _____

Second Revised SHEET NO. 7.2

CLASSIFICATION OF SERVICE

SCHEDULE "B-2" - GRAIN BIN (51 to 500 KVA)

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PUBLIC SERVICE COMMISSION
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EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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C/98

Form for Filing Rate Schedules

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

SEP 02 1997

Seventh Revised SHEET NO. 8

PURSUANT TO 807 KAR 5011,
SECTION 9(1)

CANCELING P.S.C. 7

BY: Stephan O Bell
SECRETARY OF THE COMMISSION

Sixth Revised SHEET NO. 8

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

Availability

(N)

This rate shall apply for large consumers contracting for service under terms and conditions set forth in the Large Industrial Customer Tariff of Big Rivers Electric Corporation.

Conditions of Service

(N)

Service hereunder shall be subject to the following conditions:

- 1) The consumer must have a peak demand of at least 1,000 kW;
- 2) The consumer must execute a contract with a minimum five year term
- 3) The consumer would otherwise qualify for service under Schedule LP-3 or LP-4; and,
- 4) The consumer's service characteristics meet the qualifications for service specified in the Big Rivers' Large Industrial Customer Tariff
- 5) It shall be the responsibility of the customer to coordinate through HUEC or its authorized agent all transactions that HUEC must make on behalf of the customer pursuant to the BREC Large Industrial Customer Tariff.

Monthly Rate

(N)

A. Wholesale Power cost:

An amount equal to all the monthly charges levied by Big Rivers Electric Corporation for wholesale electric service (including transmission service) hereunder.

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

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C10/198

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan B. Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 8

CANCELING P.S.C. 7

Sixth Revised SHEET NO. 8

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

Availability

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This rate shall apply for large consumers contracting for service under terms and conditions set forth in the Large Industrial Customer Tariff of Big Rivers Electric Corporation.

Conditions of Service

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Service hereunder shall be subject to the following conditions:

- 1) The consumer must have a peak demand of at least 1,000 kW;
- 2) The consumer must execute a contract with a minimum five year term
- 3) The consumer would otherwise qualify for service under Schedule LP-3 or LP-4; and,
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- 5) It shall be the responsibility of the customer to coordinate through HUEC or its authorized agent all transactions that HUEC must make on behalf of the customer pursuant to the BREC Large Industrial Customer Tariff.

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SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

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Name of Officer

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C/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

P.S.C. 7

SEP 02 1997

Eighth Revised SHEET NO. 9

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

CANCELING P.S.C. 7

BY: Stephan Bee
SECRETARY OF THE COMMISSION

Seventh Revised SHEET NO. 9

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

- B. Retail Adders: (N)
- Consumers otherwise served on Schedule LP-3:
 - Customer Charge: \$475.00 (N)
 - Demand Charge: \$ 1.95 per kW of Billing Demand (N)
 - Energy Charge: \$ 0.01 per kWh delivered (N)

School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

Consumers otherwise served on Schedule LP-4

- Customer Charge \$100.00 (N)
- Demand Charges:
 - First 2,000 kW \$1.00 per kW of Billing Demand (N)
 - Next 8,000 kW \$0.56 per kW of Billing Demand (N)
 - Over 10,000 kW \$0.25 per kW of Billing Demand (N)
- Energy Charges;
 - First 5,000,000 \$0.0025 per kWh delivered (N)
 - Over 5,000,000 \$0.0010 per kWh delivered (N)

Monthly Facilities Charge per Investment \$ 1.35% (N)

School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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ISSUED BY John West TITLE President & CEO
Name of Officer

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10/98

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 9

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 9

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

B. Retail Adders: (N)

Consumers otherwise served on Schedule LP-3:

Customer Charge: \$475.00 (N)

Demand Charge: \$ 1.95 per kW of Billing Demand (N)

Energy Charge: \$ 0.01 per kWh delivered (N)

School Taxes added if applicable.

Kentucky Sales Taxes to be added to bill if applicable.

Consumers otherwise served on Schedule LP-4

Customer Charge \$100.00 (N)

Demand Charges:

First 2,000 kW \$1.00 per kW of Billing Demand (N)

Next 8,000 kW \$0.56 per kW of Billing Demand (N)

Over 10,000 kW \$0.25 per kW of Billing Demand (N)

Energy Charges;

First 5,000,000 \$0.0025 per kWh delivered (N)

Over 5,000,000 \$0.0010 per kWh delivered (N)

Monthly Facilities Charge per Investment \$ 1.35% (N)

School Taxes added if applicable.

Kentucky Sales Taxes to be added to bill if applicable.

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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ISSUED BY John West TITLE President & CEO

Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

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Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF PUBLIC UTILITY COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 10

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 10

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

CHARACTER OF SERVICE

(N)

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the Seller.

DETERMINATION OF BILLING DEMAND

(N)

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated thirty-minute demand at such delivery point during each billing month, determined by meters which record at the end of each thirty-minute period the integrated kilowatt demand during the preceding thirty minutes; b.) the Contract Demand.

POWER FACTOR ADJUSTMENT

(N)

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

METERING

(N)

Electrical usage will be metered at the transmission voltage supplied or at the consumer's secondary voltage with a 1.% adder to the metered KWH to account for transformer losses, as determined by Seller.

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

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Name of Officer

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Dated August 29, 1997

10/198

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5.011,
SECTION 9 (1)

BY: Stephan D. Ellis
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 10

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 10

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

CHARACTER OF SERVICE

(N)

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the Seller.

DETERMINATION OF BILLING DEMAND

(N)

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated thirty-minute demand at such delivery point during each billing month, determined by meters which record at the end of each thirty-minute period the integrated kilowatt demand during the preceding thirty minutes; b.) the Contract Demand.

POWER FACTOR ADJUSTMENT

(N)

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.0% for each 1.0% by which the average power factor is less than 90% leading or lagging.

METERING

(N)

Electrical usage will be metered at the transmission voltage supplied or at the consumer's secondary voltage with a 1.0% adder to the metered KWH to account for transformer losses, as determined by Seller.

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO

Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

C1/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Original SHEET NO. 10.1

CANCELING P.S.C. 7

SHEET NO. _____

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

MINIMUM DEMAND CHARGE (N)

The minimum demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

TERMS OF PAYMENT (N)

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7 _____

Original _____ SHEET NO. 10.1

CANCELING P.S.C. _____ 7 _____

_____ SHEET NO. _____

CLASSIFICATION OF SERVICE

SCHEDULE LI-1 LARGE INDUSTRIAL (N)

MINIMUM DEMAND CHARGE

(N)

The minimum demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

TERMS OF PAYMENT

(N)

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan Bue
SECRETARY OF THE COMMISSION

SCHEDULE LI-1 REPLACES SCHEDULE LP-3 (501 to 2000 KW) DEDICATED DELIVERY POINT

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HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan Bui
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 11

CANCELING P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 11

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of : a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.

POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable.

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Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bue
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 11

CANCELING P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 11

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of : a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: *Stephan O Bell*
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 12

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 12

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

MINIMUM DEMAND CHARGE

The minimum monthly demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

MONTHLY RATE

Customer charge per delivery point	\$100.00	(I)
First 500 KW of Billing Demand, per KW.....	8.75	(R)
Over 500 KW of Billing Demand, per KW.....	7.80	(R)
First 200 kWh/kW of Billing Demand, per KW.....	.0310	(R)
Next 200 kWh/kW of Billing Demand, per KW.....	.0255	(R)
Over 400 kWh/kW of Billing Demand, per KW.....	.0230	(R)

School Taxes added to bill if applicable.
Kentucky Sales Taxes added to bill if applicable.

PRIMARY SERVICE DISCOUNT

(N)

If service is furnished at primary distribution voltage, a discount of \$.50 per kW of Billing

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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ISSUED BY *John West* TITLE President & CEO
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HENDERSON UNION ELECTRIC
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5011,
SECTION 9(1)

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. 7

Eighth Revised SHEET NO. 12

CANCELING P.S.C. 7

Seventh Revised SHEET NO. 12

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

MINIMUM DEMAND CHARGE

The minimum monthly demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

MONTHLY RATE

Customer charge per delivery point	\$100.00	(I)
First 500 KW of Billing Demand, per KW.....	8.75	(R)
Over 500 KW of Billing Demand, per KW.....	7.80	(R)
First 200 kWh/kW of Billing Demand, per KW.....	.0310	(R)
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Dated August 29, 1997

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Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 13

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 13

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

Demand will be applied to the monthly bill if consumer owns and maintains all facilities beyond the meter.. The Cooperative shall have the option of metering at primary or secondary voltage.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

PUBLIC SERVICE COMMISSION
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EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan D. Bee
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Dated August 29, 1997

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HENDERSON UNION ELECTRIC
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For All Territory Served
Community, Town or City

P.S.C. 7

Eighth Revised SHEET NO. 13

CANCELING P.S.C. 7

Seventh Revised SHEET NO. 13

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997
(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

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Dated August 29, 1997

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HENDERSON UNION ELECTRIC
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OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan Bue
PUBLIC SERVICE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Fourth Revised SHEET NO. 13.1

CANCELING P.S.C. _____ 7 _____

Third Revised SHEET NO. 13.1

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3 OFF-PEAK" Rate Rider - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery

AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

CONDITIONS OF SERVICE

- (1) An "Agreement for Purchase of Power" for a period of one year shall be signed by the consumer for service under this rate. The agreement will be automatically renewable on its anniversary date.
- (2) The LP-30P rider may be terminated by either the Cooperative or by the consumer with a thirty-day written notice.

CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

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HENDERSON UNION ELECTRIC
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OF KENTUCKY
EFFECTIVE

P.S.C. 7

SEP 02 1997

Fourth Revised SHEET NO.13.1

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

CANCELING P.S.C. 7

BY: Stephan D Bell

Third Revised SHEET NO.13.1

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3 OFF-PEAK" Rate Rider - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery

AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

CONDITIONS OF SERVICE

- (1) An "Agreement for Purchase of Power" for a period of one year shall be signed by the consumer for service under this rate. The agreement will be automatically renewable on its anniversary date.
- (2) The LP-30P rider may be terminated by either the Cooperative or by the consumer with a thirty-day written notice.

CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

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Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan Bui
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Fourth Revised SHEET NO. 13.2

CANCELING P.S.C. _____ 7 _____

Third Revised SHEET NO. 13.2

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3 OFF PEAK RATE RIDER" - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery

The Billing Demand as modified by this rider shall be the greater of the applicable percentages as listed below.

- 60% of any demand set between 8:00 a.m. & 5:00 p.m.
- 110% of any demand set between 5:00 p.m. & 10:00 p.m.
- 20% of any demand set between 10:00 p.m. & 5:00 a.m.
- 110% of any demand set between 5:00 a.m. & 8:00 a.m.

POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

MINIMUM DEMAND CHARGE

The minimum monthly demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

MONTHLY RATE

Customer charge per delivery point \$100.00 (I)

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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ISSUED BY John West TITLE President & CEO
Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220
Dated August 29, 1997

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

P.S.C. _____ 7 _____

SEP 02 1997

Fourth Revised SHEET NO. 13.2

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

CANCELING P.S.C. _____ 7 _____

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Third Revised SHEET NO. 13.2

CLASSIFICATION OF SERVICE

**SCHEDULE "LP-3 OFF PEAK RATE RIDER" - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery**

The Billing Demand as modified by this rider shall be the greater of the applicable percentages as listed below.

- 60% of any demand set between 8:00 a.m. & 5:00 p.m.
- 110% of any demand set between 5:00 p.m. & 10:00 p.m.
- 20% of any demand set between 10:00 p.m. & 5:00 a.m.
- 110% of any demand set between 5:00 a.m. & 8:00 a.m.

POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

MINIMUM DEMAND CHARGE

The minimum monthly demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

MONTHLY RATE

Customer charge per delivery point \$100.00 (I)

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997
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Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan B. Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Third Revised SHEET NO. 13.3

CANCELING P.S.C. _____ 7 _____

Second Revised SHEET NO. 13.3

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3 OFF PEAK RATE RIDER" - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery

First 500 kW of Billing Demand, per KW.....	8.75	(R)
Over 500 kW of Billing Demand, per KW.....	7.80	(R)
First 200 kWh/kW of Billing Demand, per KW.....	.03100	(R)
Next 200 kWh/kW of Billing Demand, per KW.....	.02550	(R)
Over 400 kWh/kW of Billing Demand, per KW.....	.02300	(R)

School Taxes added to bill if applicable.
Kentucky Sales Taxes added to bill if applicable.

PRIMARY SERVICE DISCOUNT (N)

If service is furnished at primary distribution voltage, a discount of \$.50 per kW of Billing Demand will be applied to the monthly bill if consumer owns and maintains all facilities beyond the meter. The Cooperative shall have the option of metering at primary or secondary voltage.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

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Form for Filing Rate Schedules

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PUBLIC SERVICE COMMISSION
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EFFECTIVE

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. 7

Third Revised SHEET NO. 13.3

CANCELING P.S.C. 7

Second Revised SHEET NO. 13.3

CLASSIFICATION OF SERVICE

SCHEDULE "LP-3 OFF PEAK RATE RIDER" - LARGE POWER (501 to 2000 KW)
Non-dedicated Delivery

First 500 kW of Billing Demand, per KW.....	8.75	(R)
Over 500 kW of Billing Demand, per KW.....	7.80	(R)
First 200 kWh/kW of Billing Demand, per KW.....	.03100	(R)
Next 200 kWh/kW of Billing Demand, per KW.....	.02550	(R)
Over 400 kWh/kW of Billing Demand, per KW.....	.02300	(R)

School Taxes added to bill if applicable.
Kentucky Sales Taxes added to bill if applicable.

PRIMARY SERVICE DISCOUNT

(N)

If service is furnished at primary distribution voltage, a discount of \$.50 per kW of Billing Demand will be applied to the monthly bill if consumer owns and maintains all facilities beyond the meter. The Cooperative shall have the option of metering at primary or secondary voltage.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

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PUBLIC SERVICE COMMISSION
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EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF PUBLIC SERVICE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 14

CANCELING P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 14

CLASSIFICATION OF SERVICE

SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

AVAILABILITY

(N)

This rate shall apply for: 1) large consumers contracting for demand of not less than 501 kW where service is provided through a dedicated substation connected to the 69 kV Transmission system of Big Rivers or other accessible system; 2) any large power consumer contracting for not less than 2001 kW.

CONDITIONS OF SERVICE

(N)

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

CHARACTER OF SERVICE

(N)

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the Seller.

DETERMINATION OF BILLING DEMAND

(N)

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated thirty-minute demand at such delivery point during each billing month, determined by meters which record at the end of each thirty-minute period the integrated kilowatt demand during the preceding thirty minutes; b.) the Contract Demand.

POWER FACTOR ADJUSTMENT

(N)

The consumer agrees to maintain unity power factor as nearly as practicable.

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Form for Filing Rate Schedules

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PURSUANT TO 807 KAR 5:011,
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SECRETARY OF THE COMMISSION

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P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 14

CANCELING P.S.C. _____ 7 _____

Sixth Revised SHEET NO. 14

CLASSIFICATION OF SERVICE

SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

AVAILABILITY

(N)

This rate shall apply for: 1) large consumers contracting for demand of not less than 501 kW where service is provided through a dedicated substation connected to the 69 kV Transmission system of Big Rivers or other accessible system; 2) any large power consumer contracting for not less than 2001 kW.

CONDITIONS OF SERVICE

(N)

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

CHARACTER OF SERVICE

(N)

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the Seller.

DETERMINATION OF BILLING DEMAND

(N)

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated thirty-minute demand at such delivery point during each billing month, determined by meters which record at the end of each thirty-minute period the integrated kilowatt demand during the preceding thirty minutes; b.) the Contract Demand.

POWER FACTOR ADJUSTMENT

(N)

The consumer agrees to maintain unity power factor as nearly as practicable.

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO

Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

C/98

Form for Filing Rate Schedules

For All Territory Served
Community, Town or City

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

P.S.C. 7

SEP 02 1997

Eighth Revised SHEET NO. 15

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

CANCELING P.S.C. 7

BY: Stephan D Bell
SECRETARY OF THE COMMISSION

Seventh Revised SHEET NO. 15

CLASSIFICATION OF SERVICE

SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

METERING

(N)

Electrical usage will be metered at the transmission voltage supplied or at the consumer's secondary voltage with a 1.% adder to the metered KWH to account for transformer losses, as determined by Seller.

MONTHLY RATE

Customer charge per delivery point	\$100.00	(N)
Demand Charges:		
First 2,000 kW of Billing Demand, per kW.....	8.37	(N)
Next 8,000 kW of Billing Demand, per kW.....	7.93	(N)
Over 10,000 kW of Billing Demand, per kW.....	7.62	(N)
Energy Charges:		
First 5,000,000 kWh, per kWh.....	\$0.0229	(N)
Over 5,000,000 kWh, per kWh.....	0.0214	(N)
Monthly Facilities Charge per Dollar of Investment \$	1.35%	(N)

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ISSUED BY John West TITLE President & CEO
Name of Officer

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Dated August 29, 1997

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan D. Bue
SECRETARY OF THE COMMISSION

For All Territory Served
Community, Town or City

P.S.C. _____ 7 _____

Eighth Revised SHEET NO. 15

CANCELING P.S.C. _____ 7 _____

Seventh Revised SHEET NO. 15

CLASSIFICATION OF SERVICE

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Demand Charges:		
First 2,000 kW of Billing Demand, per kW.....	8.37	(N)
Next 8,000 kW of Billing Demand, per kW.....	7.93	(N)
Over 10,000 kW of Billing Demand, per kW.....	7.62	(N)
Energy Charges:		
First 5,000,000 kWh, per kWh.....	\$0.0229	(N)
Over 5,000,000 kWh, per kWh.....	0.0214	(N)
Monthly Facilities Charge per Dollar of Investment \$	1.35%	(N)

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Form for Filing Rate Schedules

For All Territory Served
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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

Eighth Revised SHEET NO. 16

CANCELING P.S.C. 7

Seventh Revised SHEET NO. 16

CLASSIFICATION OF SERVICE

SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

School Taxes added to bill if applicable.
Kentucky Sales Taxes added to bill if applicable.

MINIMUM DEMAND CHARGE (N)

The minimum demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

TERMS OF PAYMENT (N)

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
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SECTION 9 (1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

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Dated August 29, 1997

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

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CANCELING P.S.C. 7

Seventh Revised SHEET NO. 16

CLASSIFICATION OF SERVICE

SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

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SECTION 9 (1)
BY: Stephan Bue
SECRETARY OF THE COMMISSION

For All Territory Served
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Sixth Revised SHEET NO. 17

CANCELING P.S.C. _____ 7 _____

Fifth Revised SHEET NO. 17

CLASSIFICATION OF SERVICE

SCHEDULE "SL" - STREET LIGHTS

AVAILABILITY

This rate shall apply to any consumer or organization within service area requiring "SL".

MONTHLY RATE

Sodium or Mercury Vapor Lamp

8,000 Lumen	\$4.30	(R)
20,000 Lumen	\$8.10	(R)

CONDITIONS OF SERVICE

(1) An agreement for purchase of street lights shall be executed by an authorized person responsible for the payment and collection of community street lighting.

(2) Above rates include all materials, installation, and maintenance of equipment, or existing facilities, with exception of loss due to vandalism in which case the consumer shall pay all cost.

(3) Installation of new lamps when construction of facilities is required will be based on distribution rules and regulations.

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HENDERSON UNION ELECTRIC
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SECRETARY OF THE COMMISSION

Fifth Revised SHEET NO. 17

CLASSIFICATION OF SERVICE

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CANCELING P.S.C. 7

Fifth Revised SHEET NO. 18

CLASSIFICATION OF SERVICE

SCHEDULE "SL" - STREET LIGHTS

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

PUBLIC SERVICE COMMISSION
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HENDERSON UNION ELECTRIC
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CLASSIFICATION OF SERVICE

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TERMS OF PAYMENT

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SECRETARY OF THE COMMISSION

For All Territory Served
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CLASSIFICATION OF SERVICE

SCHEDULE "D" - SECURITY LAMP

AVAILABILITY

This rate shall apply to any consumer within the service area.

MONTHLY RATE

Sodium or Mercury Vapor Lamp

8,000 Lumen	\$ 6.95	(R)
20,000 Lumen	\$10.00	(R)

CONDITIONS OF SERVICE

(1) Above rates include all materials, installation and maintenance of equipment existing facilities, with exception of loss due to vandalism in which case the consumer shall pay all cost.

(2) Installation of new lamps when construction of facilities is required will be based on distribution rules and regulations.

TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

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HENDERSON UNION ELECTRIC
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BY: Stephan Bue
SECRETARY OF THE COMMISSION

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CLASSIFICATION OF SERVICE

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Fifth Revised SHEET NO. 20

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Third Revised SHEET NO. 21

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HENDERSON UNION ELECTRIC
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HENDERSON UNION ELECTRIC
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Second Revised SHEET NO. 23

CLASSIFICATION OF SERVICE

SMELTER CUSTOMER SERVED UNDER SPECIAL CONTRACT

AVAILABILITY

(T)

This tariff applies for sales and deliveries of electric power and energy to Alcan for use at the primary aluminum smelter located in Sebree, Kentucky.

TERMS OF THE RATE

(T)

This rate shall have the same term as and shall be effective concurrent with the Big Rivers Henderson Union Smelter Rate (BREC Smelter rate) attached herein.

CONDITIONS OF SERVICE

(T)

1. It shall be the responsibility of Alcan to coordinate through HUEC or its authorized agent all transactions that HUEC must make on behalf of Alcan pursuant to the BREC Smelter rate.
2. All other conditions of service shall be governed by the Contract between HUEC and Alcan

RATES

The rates to Alcan shall be the sum or the following:

- a.) All cost incurred by HUEC pursuant to the rates, terms and conditions of the BREC Smelter rate for the supply and/or delivery of electric power and energy to or for Alcan; plus
- b.) \$.0001 per kilowatt hour delivered, per month.
School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

(T)

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PURSUANT TO 807 KAR 5:011,
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SECRETARY, PUBLIC SERVICE COMMISSION

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HENDERSON UNION ELECTRIC
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CLASSIFICATION OF SERVICE

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(T)

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1. It shall be the responsibility of Alcan to coordinate through HUEC or its authorized agent all transactions that HUEC must make on behalf of Alcan pursuant to the BREC Smelter rate.
2. All other conditions of service shall be governed by the Contract between HUEC and Alcan

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a.) All cost incurred by HUEC pursuant to the rates, terms and conditions of the BREC Smelter rate for the supply and/or delivery of electric power and energy to or for Alcan; plus

b.) \$.0001 per kilowatt hour delivered, per month.
School Taxes added if applicable.
Kentucky Sales Taxes to be added to bill if applicable.

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OF KENTUCKY
EFFECTIVE

(T)

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HENDERSON UNION ELECTRIC
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Second Revised SHEET NO. 24

CLASSIFICATION OF SERVICE

SMELTER CUSTOMER SERVED UNDER SPECIAL CONTRACT

5. INTERIM BIG RIVERS HENDERSON UNION SMELTER RATE (T)

a. AVAILABILITY:

This tariff applies to Henderson Union Electric Cooperative Corporation ("HU") for sales to Alcan Aluminum Corporation ("Alcan") for use at the Alcan primary aluminum smelter located in Sebree, Kentucky. The maximum demand associated with Tier 1 and Tier 2 Energy hereunder shall be 233,000 kilowatts.

b. TERM OF THE RATE:

This tariff shall take effect at 12:01 a.m. on September 2, 1997 and shall terminate at the earlier of midnight August 31, 1998 or the Closing Date of the transaction between Big Rivers and LG&E Energy Corp. ("LEC") and its affiliates. This tariff shall remain in effect during the entire term hereof.

c. RATES:

PUBLIC SERVICE COMMISSION⁽¹⁾
OF KENTUCKY
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The Tier 1 Energy Rate and the Tier 2 Energy Rate as set forth in Sections 5.c.(2) and (3), are the rates inclusive of all expenses of providing an aggregate 233,000 kilowatts of firm electric service at a 98% load factor for total Tier 1 and Tier 2 kilowatt hours each month equal to 5,480,160 kWh multiplied by the number of days in that month. Accordingly, no separate demand charge is applicable to electric service under Tier 1 or Tier 2.

Expenses covered in the Tier 1 and Tier 2 Energy Rates include, but are not

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CANCELING P.S.C. _____ 7 _____

Second Revised SHEET NO. 24

CLASSIFICATION OF SERVICE

SMELTER CUSTOMER SERVED UNDER SPECIAL CONTRACT

5. INTERIM BIG RIVERS HENDERSON UNION SMELTER RATE (T)

a. AVAILABILITY:

This tariff applies to Henderson Union Electric Cooperative Corporation ("HU") for sales to Alcan Aluminum Corporation ("Alcan") for use at the Alcan primary aluminum smelter located in Sebree, Kentucky. The maximum demand associated with Tier 1 and Tier 2 Energy hereunder shall be 233,000 kilowatts.

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c. RATES:

PUBLIC SERVICE COMMISSION
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The Tier 1 Energy Rate and the Tier 2 Energy Rate as set forth in Sections 5.c.(2) and (3), are the rates inclusive of all expenses of providing an aggregate 233,000 kilowatts of firm electric service at a 98% load factor for total Tier 1 and Tier 2 kilowatt hours each month equal to 5,480,160 kWh multiplied by the number of days in that month. Accordingly, no separate demand charge is applicable to electric service under Tier 1 or Tier 2.

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Expenses covered in the Tier 1 and Tier 2 Energy Rates include, but are not

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limited to the fixed, semi-variable, and variable costs of owning, operating, or acquiring all facilities necessary for the generation and associated transmission and distribution of the quantities of energy set forth in this Section 5.c. The semi-variable and variable expenses include, but are not limited to, all fuel and non-fuel operational, maintenance and environmental expenses associated with producing and/or acquiring and transmitting and distributing energy, including the cost of all associated administrative and ancillary services.

(2) Tier 1 Energy Rate:

The Tier 1 Energy Rate for firm power is \$0.0307 per kWh.

(3) Tier 2 Energy Rate:

The Tier 2 Energy Rate for firm power is \$0.02098 per kWh.

(4) (a) Tier 3 Energy Rate:

The Tier 3 Energy Rate for firm power shall be \$0.0192 per kWh, plus a 2% factor for system losses supplied by Big Rivers to HU for a total rate, excluding transmission, of \$0.01958 per kWh.

(b) Tier 3 Transmission Rate:

The Tier 3 Transmission Rate applicable to all transmission services provided by or through Big Rivers with respect to Tier 3 energy, shall be fixed at \$0.98 per Kilowatt per month of Tier 3

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Demand.

(c) Tier 3 Ancillary Services Rate:

Ancillary services shall not be separately charged by Big Rivers during this interim period, but instead shall be supplied to HU and bundled as part of the power sales rate from Big Rivers to HU as set forth in Section 5.c.4(a).

d. CHARGES:

(1) Definitions

The Minimum Purchase Obligation in each month shall be the amount calculated by multiplying 2,304,960 kWh by the number of days in the billing month. The Tier 2 Purchase Allowance in each month shall be the difference between the Minimum Purchase Obligation and the amount calculated by multiplying 5,480,160 kWh by the number of days in the billing month. The Tier 3 Demand shall be determined as the metered maximum demand in each month less 233,000 kilowatts, but not less than zero.

(2) Tier 1

Each calendar month, HU shall pay a Tier 1 Energy Charge that shall be the sum of (i) the rate set forth in Section 5.c.(2) of this Interim Tariff multiplied by the number of kilowatt hours purchased by HU for resale to Alcan, but no more than the amount of the Minimum Purchase Obligation, and (ii) a rate of \$0.0135 per kWh multiplied by the number of kilowatt hours, if any, by which

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SEP 02 1997 (2) Tier 1

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the Minimum Purchase obligation exceeds the actual number of kilowatt hours purchased by Alcan for consumption or resale in such month. Payment of the Tier 1 Energy Charge is the only take-or-pay obligation of HU to Big Rivers.

At its sole discretion, HU may purchase on behalf of Alcan all or any portion, up to a maximum demand of 98,000 kilowatts, of the Minimum Purchase Obligation for resale to third-party consumers, utilities, power marketers, power brokers, or any other party to which power can be legally sold at times and under terms and conditions determined by Alcan, provided that the resale by HU of such Tier 1 energy shall not preclude Alcan from purchasing and consuming Tier 2 energy, and provided further that HU shall arrange for transmission service and associated ancillary services for this power to be resold, subject to its availability on Big Rivers' OASIS, at HU's or the purchaser's expense, from Big Rivers, at rates as they are then in effect according to the type and amount of transmission and ancillary services used on Big Rivers' transmission system to accomplish this sale for resale. In the event of a shutdown of the Sebree Smelter of at least 30 days' duration, to the extent transmission and ancillary services charges are incurred by HU on Big Rivers' transmission system to effect this resale of Tier 1 energy, this amount shall be credited against the amount owed for the Minimum Purchase Obligation. In all other circumstances, HU shall be required to pay Big Rivers separately for all such transmission and ancillary services associated with the resale of Tier 1 Energy as set forth above.

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SECTION 9 (1)

BY: Stephan D Bell
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(3) Tier 2

Each calendar month, HU shall pay a Tier 2 Energy Charge computed by multiplying the applicable rate set forth in Section 5.c.(3) of this Tariff by the number of kilowatt hours consumed by Alcan under the Tier 2 Purchase Allowance.

(4) Tier 3

Each calendar month HU shall pay a Tier 3 Energy Charge computed by multiplying the applicable Tier 3 Energy Rate set forth in Section 5.c.(4)(a) of this tariff by the number of kilowatt hours consumed by Alcan in excess of the amount calculated by multiplying 5,480,160 kWh by the number of days in the billing month, plus a separate Tier 3 Transmission Charge computed by multiplying the Tier 3 Transmission Rate set forth in Section 5.c.4(b) of this Interim Tariff by the Tier 3 Demand.

e. (1) NO ADJUSTMENTS FOR LEGISLATION, REGULATORY OR LEGAL ACTION:

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The Rates under this Interim Tariff are fixed during the applicable period.

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(2) NO ADJUSTMENTS FOR FUEL PURCHASES:

The Rates under this Interim Tariff shall not be increased or decreased by any adjustment for the cost of fuel, whether under 807 KAR 5:056 or otherwise.

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan Bue
SECRETARY OF THE COMMISSION

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Each calendar month, HU shall pay a Tier 2 Energy Charge computed by multiplying the applicable rate set forth in Section 5.c.(3) of this Tariff by the number of kilowatt hours consumed by Alcan under the Tier 2 Purchase Allowance.

(4) Tier 3

Each calendar month HU shall pay a Tier 3 Energy Charge computed by multiplying the applicable Tier 3 Energy Rate set forth in Section 5.c.(4)(a) of this tariff by the number of kilowatt hours consumed by Alcan in excess of the amount calculated by multiplying 5,480,160 kWh by the number of days in the billing month, plus a separate Tier 3 Transmission Charge computed by multiplying the Tier 3 Transmission Rate set forth in Section 5.c.4(b) of this Interim Tariff by the Tier 3 Demand.

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BY: Stephan Bee
SECRETARY OF THE COMMISSION

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(3) NO ADJUSTMENT FOR ENVIRONMENTAL SURCHARGE:

The Rates under this Interim Tariff shall not be increased or decreased by any adjustment for an environmental surcharge, whether under KRS 278.183, or otherwise.

f.

BILLING

Big Rivers shall bill HU on the first working day after the 13th of the month for the previous month's service hereunder. HU shall pay Big Rivers in immediately payable funds on or before the first working day after the 24th of the month. If HU shall fail to pay any such bill within such prescribed period, Big Rivers may discontinue delivery of electric power and energy hereunder upon five (5) days' written notice to HU of its intention to do so. Such discontinuance for non-payment shall not in any way affect the obligation of HU to pay the Minimum Purchase Obligation or the right of HU on behalf of Alcan to resell Tier 1 Energy, provided that any such purchases of the Minimum Purchase Obligation for resale must be paid for in immediately payable funds in advance by HU on behalf of Alcan.

BILLING FORM:

BIG RIVERS ELECTRIC CORP., P.O. BOX 24, HENDERSON, KY 42420

MONTH ENDING

TO HENDERSON-UNION

ACCOUNT 82

HU

SUBSTATION ALCAN
SERVICE FROM
THRU

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ISSUED BY John West TITLE President & CEO
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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

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TO HENDERSON-UNION

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BY: Stephan D. Blevins
SECRETARY OF THE COMMISSION

Second Revised SHEET NO. 30

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USAGE DEMAND TIME DAY METER MULT
KW DEMAND

ENERGY PREVIOUS PRESENT DIFFERENCE MULT KWH
USED

TIER 1 ENERGY

ENERGY KWH TIMES \$0.0307 EQUALS \$ _____

TAKE OR PAY(IF ANY) KWH TIMES \$0.0135 EQUALS \$ _____

ADJUSTMENT KWH TIMES \$0.0307 EQUALS \$ _____

SUBTOTAL \$ _____

TIER 2 ENERGY

ENERGY KWH TIMES \$0.02098 EQUALS \$ _____

ADJUSTMENT KWH TIMES \$0.02098 EQUALS \$ _____

SUBTOTAL \$ _____

TIER 3 ENERGY

ENERGY KWH TIMES \$0.01958 EQUALS \$ _____

ADJUSTMENT KWH TIMES \$0.01958 EQUALS \$ _____

SUBTOTAL \$ _____

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TIER 3 TRANSMISSION

DEMAND KW TIMES \$0.98 EQUALS \$ _____

ADJUSTMENT KW TIMES \$0.98 EQUALS \$ _____

SUBTOTAL \$ _____

TOTAL AMOUNT DUE \$ _____

LOAD FACTOR ACTUAL BILLED MILLS PER KWH

DUE IN IMMEDIATELY AVAILABLE FUNDS ON OR BEFORE THE FIRST WORKING DAY AFTER THE 24TH OF THE MONTH.

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Original SHEET NO. 30.2

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Form for Filing Rate Schedules

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7. BIG RIVERS INTERIM LARGE INDUSTRIAL CUSTOMER TARIFF (N)

a. Availability:

This schedule is available to any of Big Rivers' member rural electric distribution cooperatives for service to certain large industrial customers with peak demands of one (1) MW or greater contracting for terms of not less than five years with established Base Contract Demands of not less than 75% of their Maximum Contract Demand and minimum monthly contractual load factors of 70%.

b. Terms of the Rate Schedule:

This rate schedule shall take effect at 12:01 a.m. on September 2, 1997, and shall terminate at the earlier of midnight on August 31, 1998 or the Closing Date of the transaction between Big Rivers and LG&E Energy Corp. ("LEC") and its affiliates. This tariff shall remain in effect during the entire term hereof.

c. Rates:

(1) Rates Separate for Each Large Industrial Customer:

Each month each Member Cooperative shall be required to pay separately for each of its qualifying Large Industrial Customers taking service under this tariff, in each case using that Large Industrial Customer's individual Maximum Contract Demand, Base Contract Demand, and established contractual load factor of at least

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70%.

(2) BREC Firm Sale Rates:

The following BREC Firm Sale rates shall be applicable to the contractually specified Base Contract Demand (established by contract as at least 75% of the contractually specified Maximum Contract Demand) and Base Contract Energy (established as the kilowatt hours determined by applying the contractual load factor of at least 70% to Base Contract Demand). The BREC Firm Sale is a take or pay obligation.

(a) BREC Firm Sale Demand Rate:

\$7.37 per kW per month of Base Contract Demand.

(b) BREC Firm Sale Energy Rate:

\$0.02040 per kWh per month of Base Contract Energy.

(c) BREC Firm Sale Transmission Rate:

BREC Firm Sale Energy Rate includes all transmission.

(d) BREC Firm Sale Ancillary Services Rate:

BREC Firm Sale Energy Rate includes all ancillary services.

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(2) Contract Demand and Contract Energy Rates:

The following rates shall be applicable for the Contract Demand (the kilowatts taken above the Base Contract Demand up to Maximum Contract Demand, as established by contract) and Contract Energy (established as all metered kWh taken each month less kWh taken as Base Contract Energy and less kWh taken as Excess Energy).

(a) Contract Demand Rates:

No demand rate is associated with kW established as Contract Demand.

(b) Contract Energy Rates:

\$0.01958 per kWh per month, inclusive of losses.

(c) Contract Demand Transmission Rates:

For each kW taken per month in excess of the kW of Base Contract Demand, less the kW of Excess Demand, a rate of \$0.98.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 02 1997

PIRSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephen D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

(Interim rates subject to change)

ISSUED BY John West TITLE President & CEO
Name of Officer

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

Dated August 29, 1997

C10/98

HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. 7

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(d) Contract Demand Ancillary Services Rates:

All required transmission-based and generation-based ancillary services shall be included in the Contract Energy rate.

(3) Excess Demand and Excess Energy Rates:

The following rates are applicable for Excess Demand (established as all kW taken each month in excess of Maximum Contract Demand) and Excess Energy (established as the kWh determined by applying the contractually specified load factor of at least 70% to Excess Demand). Note: in determining which of the below rates to apply, all such rates will be calculated consistently (i.e., using either the fixed rate for each of Excess Demand, Excess Energy, or Excess Demand Transmission Rates or 110% of actual costs).

(a) Excess Demand Rate:

The greater of \$7.37 per kW per month or 110% of any demand charge associated with a purchase of such power by Big Rivers.

(b) Excess Energy Rate:

The greater of \$0.02040 per kWh per month or 110% of the energy rate associated with a purchase of such power by Big Rivers.

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(c) Excess Demand Transmission Rate:

No transmission charges shall apply unless Big Rivers assesses costs based on the actual cost to obtain the power, in which case 110% of the actual transmission charges paid by Big Rivers to obtain and deliver this power on applicable third party transmission systems plus 100% of the costs of the applicable transmission taken on Big Rivers' own transmission system shall be charged.

(d) Excess Demand Ancillary Services Rate:

No ancillary services charges shall apply unless Big Rivers assesses costs based on the actual cost to obtain the power, in which case 110% of the actual ancillary charges paid by Big Rivers to obtain this power on applicable third party transmission systems, plus 100% of the applicable ancillary services taken on Big Rivers' own transmission system shall be charged.

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NO ADJUSTMENTS FOR FUEL PURCHASES:

The Rates under this rate schedule shall not be increased or decreased by any adjustment for the cost of fuel, whether under 807 KAR 5:056 or otherwise.

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HENDERSON UNION ELECTRIC
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(2) NO ADJUSTMENT FOR ENVIRONMENTAL SURCHARGE:

The Rates under this rate schedule shall not be increased or decreased by any adjustment for an environmental surcharge, whether under KRS 278.183, or otherwise.

e. BILLING

Big Rivers shall bill each member distribution cooperative for each of its Large Industrial Customers on the first working day after the 13th of the month for the previous month's service hereunder. Each member distribution cooperative shall pay Big Rivers for each such Large Industrial Customer in immediately available funds on or before the first working day after the 24th of the month. If a member distribution cooperative shall fail to pay any such bill within such prescribed period, Big Rivers may discontinue delivery of electric power and energy hereunder upon five (5) days' written notice to that member distribution cooperative of its intent to do so. Such discontinuance for non-payment shall not in any way affect the obligation of that member distribution cooperative with respect to that Large Industrial Customer's BREC Firm Sale take or pay obligation.

f. BILLING FORM:

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OF KENTUCKY
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PURSUANT TO 807 KAR 5:011,
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BY: Stephan Bue
SECRETARY OF THE COMMISSION

INVOICE

BIG RIVERS ELECTRIC CORP., P.O. BOX 24, HENDERSON, KY 42420
MONTH ENDING

TO DISTRIBUTION COOPERATIVE

ACCOUNT

DATE OF ISSUE September 9, 1997 DATE EFFECTIVE September 2, 1997

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

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P.S.C. _____ 7 _____

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CANCELING P.S.C. _____ 7 _____

BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

SHEET NO. _____

CLASSIFICATION OF SERVICE

SUBSTATION INDUSTRIAL DELIVERY POINT

SERVICE FROM THRU

USAGE	DEMAND	TIME	DAY	METER		MULT	KW DEMAND
	POWER		BASE	PEAK	AVERAGE		BILLED
	FACTOR						PEAK

ENERGY	PREVIOUS	PRESENT	DIFFERENCE	MULT	KWH USED
--------	----------	---------	------------	------	----------

BREC FIRM SALE

BASE CONTRACT DEMAND KW TIMES \$7.37 EQUALS \$ _____

P/F PENALTY KW TIMES \$7.37 EQUALS \$ _____

ADJUSTMENT KW TIMES \$7.37 EQUALS \$ _____

SUBTOTAL \$ _____

BASE CONTRACT ENERGY KWH TIMES \$.02040 EQUALS \$ _____

ADJUSTMENT KWH TIMES \$.02040 EQUALS \$ _____

SUBTOTAL \$ _____

CONTRACT ENERGY KWH TIMES \$0.01958 EQUALS \$ _____

ADJUSTMENTS KWH TIMES \$0.01958 EQUALS \$ _____

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HENDERSON UNION ELECTRIC
COOPERATIVE CORPORATION

P.S.C. _____ 7 _____

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_____ SHEET NO. _____

CLASSIFICATION OF SERVICE

CONTRACT KW TIMES \$0.98 EQUALS \$ _____
DEMAND TRANSMISSION

ADJUSTMENTS KW TIMES \$0.98 EQUALS \$ _____

EXCESS DEMAND GREATER OF KW TIMES \$7.37 EQUALS \$ _____
OR 110% OF BREC COST TO ACQUIRE

ADJUSTMENTS
EXCESS ENERGY GREATER OF KWH TIMES \$0.02040 EQUALS \$ _____
OR 110% OF BREC COST TO ACQUIRE

TOTAL AMOUNT DUE \$ _____

LOAD FACTOR MILLS PER KWH
ACTUAL BILLED

PUBLIC SERVICE COMMISSION
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**DUE IN IMMEDIATELY AVAILABLE FUNDS ON OR BEFORE THE FIRST WORKING DAY OF THE
MONTH AFTER THE 24TH OF THE MONTH.**

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PURSUANT TO 807 KAR 5:01
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BY: Stephan O. Br...
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